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# Correspondence

# Pneumothorax and barotrauma in invasively ventilated patients with COVID-19

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## Dear Editor,

We read with great interest the article by Chopra et al. recently published on Respiratory Medicine [1].

In their multicenter study, the Authors present incidence, clinical characteristics and outcome of pneumothorax in critically ill patients with coronavirus disease 2019 (COVID-19). They found that pneumothorax rate among invasively ventilated COVID-19 patients is 80/594 (13 %), and mortality rate for patients who developed pneumothorax is 50/80 (62 %). On the contrary, among 160 randomly selected invasively ventilated COVID-19 patients without pneumothorax, mortality was 78/ 160 (49 %).

We recently published a systematic review on rate of barotrauma among invasively ventilated COVID-19 patients [2]. Our pooled analysis included data from 13 studies and 1814 patients [3–15] and we found that pooled estimate of pneumothorax rate was 10.7 % (95 % confidence interval [CI] = 6.7 %–14.7 %), while overall rate of barotrauma event was 16.1 % (95 % CI = 11.8 %–20.4 %). In addition, we found an overall mortality rate for COVID-19 patients who developed barotrauma of 61.6 % (95 % CI = 50.2 %–73.0 %), as compared with a mortality of 49.5 % (95 % CI = 41.1 %–58.0 %) for COVID-19 patients who did not develop barotrauma.

We are pleased to read that our findings are further reinforced by another study, that confirms that pneumothorax rate among mechanically ventilated COVID-19 patients is between 10 and 15 %. Indeed, after including the study by Chopra et al. in an updated meta-analysis, the pooled pneumothorax rate is 11.1 % (95 % CI = 7.4 %–14.8 %) (Fig. 1), while the overall barotrauma rate is 15.4 % (95 % CI = 11.7 %– 19 %) (Fig. 2). Furthermore, the study by Chopra et al. also confirms a mortality rate above 60 % for COVID-19 patients with barotrauma (updated pooled estimate = 61.4 %; 95 % CI = 52.1 %-70.7 %) (Fig. 3), as compared with a mortality lower than 50 % for patients who did not develop barotrauma (updated pooled estimate = 49.5 %; 95 % CI = 42.8 %-52.3 %) (Fig. 4).

Collectively, these data confirm that barotrauma occur frequently in COVID-19 patients requiring mechanical ventilation, and is associated with a worse outcome and a very high mortality risk. Interestingly, the study by Chopra et al. is one of the few studies that identified worse lung mechanics at start of mechanical ventilation as a risk factor for development of barotrauma [2]. Most of previously published studies reported no significant differences among mechanical ventilation settings/parameters between patients who developed barotrauma and those who did not. They also found a trend towards lower age and higher use of steroids in pneumothorax patients, which were also suggested by other Authors [2,16].

Interestingly, in a recent study by our group, we identified Macklinlike radiological sign [17,18] detected on chest computed tomography (CT) scan as potential predictor of subsequent development of barotrauma about 12 days in advance [3].

Considering the high mortality rate associated with development of barotrauma in COVID-19 patients, and the ongoing debate on optimal timing of intubation in these patients [19–21], we believe that it might be justified to avoid intubation in patients with Macklin-like radiological sign on chest CT, and prefer early support with alternative techniques including awake prone positioning and extracorporeal membrane oxygenation [22–25].

#### Funding

None.









First				%	
Author	Year		ES (95% CI)	Weight	Country
		-			
Capaccione	2021	-	0.14 (0.08, 0.19)	11.28	USA
McGuinness	2020	•	0.09 (0.07, 0.11)	14.72	USA
Fiacchini	2020		0.20 (0.06, 0.34)	4.75	Italy
Wang	2020		- 0.56 (0.23, 0.88)	1.22	China
Udi	2021	• • • • • • • • • • • • • • • • • • •	0.25 (0.06, 0.44)	3.11	Germany
Yao	2020	+	0.06 (0.03, 0.09)	13.93	China
Edwards	2020	•	0.03 (0.00, 0.06)	14.31	USA
Talan	2020	-	0.06 (0.01, 0.11)	12.35	Turkey
Belletti	2021	-	0.19 (0.12, 0.26)	9.94	Italy
Chopra	2021	+	0.13 (0.11, 0.16)	14.37	USA
Mart	2021		(Excluded)	0.00	USA
Overall (I-squared = 83.8%, p = 0.000)		$\diamond$	0.11 (0.07, 0.15)	100.00	
NOTE: Weights are from random effects analysis					
88 0		0	88		

Fig. 1. Forest plot for pneumothorax development in invasively ventilated COVID-19 patients.

First				%		
Author	Year		ES (95% CI)	Weight	Country	
Capaccione	2021	-	0.14 (0.08, 0.19)	8.41	USA	
Lemmers	2020		0.14 (0.08, 0.19)	8.84	Italy	
McGuinness	2020	+	0.15 (0.12, 0.18)	10.09	USA	
Fiacchini	2020		0.47 (0.29, 0.65)	3.01	Italy	
Wang	2020		0.56 (0.23, 0.88)	1.13	China	
Udi	2021		0.40 (0.19, 0.61)	2.28	Germany	
Yao	2020	+	0.06 (0.03, 0.09)	9.89	China	
Edwards	2020	-	0.09 (0.05, 0.14)	8.99	USA	
Talan	2020	-	0.09 (0.04, 0.15)	8.43	Turkey	
Belletti	2021		0.24 (0.16, 0.32)	7.21	Italy	
Housman	2020	+	0.17 (0.11, 0.23)	8.56	USA	
Mart	2021	+	0.05 (0.01, 0.10)	9.16	USA	
Kahn	2021		0.33 (0.19, 0.48)	3.88	USA	
Chopra	2021	+	0.13 (0.11, 0.16)	10.13	USA	
Overall (I-squared = 82.9%, p = 0.000)		$\diamond$	0.15 (0.12, 0.19)	100.00		
NOTE: Weights are from random effects analysis						
	88	0	.88			

Fig. 2. Forest plot for barotrauma development in invasively ventilated COVID-19 patients.



Fig. 3. Forest plot for longest follow-up mortality in invasively ventilated COVID-19 patients who developed barotrauma.



Fig. 4. Forest plot for longest follow-up mortality in invasively ventilated COVID-19 patients who did not developed barotrauma.

#### Declaration of competing interest

None.

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