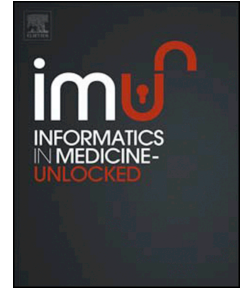


# Journal Pre-proof



Are interconnected compartmental models more effective at predicting decompression sickness risk?

Gianluca Di Muro, F. Gregory Murphy, Richard D. Vann, Laurens E. Howle

PII: S2352-9148(19)30387-9

DOI: <https://doi.org/10.1016/j.imu.2020.100334>

Reference: IMU 100334

To appear in: *Informatics in Medicine Unlocked*

Received Date: 4 January 2020

Revised Date: 14 April 2020

Accepted Date: 23 April 2020

Please cite this article as: Di Muro G, Murphy FG, Vann RD, Howle LE, Are interconnected compartmental models more effective at predicting decompression sickness risk?, *Informatics in Medicine Unlocked* (2020), doi: <https://doi.org/10.1016/j.imu.2020.100334>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2020 Published by Elsevier Ltd.

# 1 Are Interconnected Compartmental Models More 2 Effective at Predicting Decompression Sickness Risk?

---

3 Gianluca Di Muro<sup>1</sup>, F. Gregory Murphy<sup>1,2</sup>, Richard D. Vann<sup>1,3</sup>, Laurens E. Howle<sup>1,4,5,6</sup>

4  
5 <sup>1</sup> Department of Mechanical Engineering and Materials Science  
6 Duke University  
7 Durham, NC USA

8  
9 <sup>2</sup> Navy Experimental Diving Unit  
10 Panama City, FL

11  
12 <sup>3</sup> Divers Alert Network  
13 Durham, NC USA

14  
15 <sup>4</sup> Department of Radiology  
16 Duke University Medical Center  
17 Durham, NC USA

18  
19 <sup>5</sup> Division of Marine Science & Conservation  
20 Duke University Marine Laboratory  
21 Beaufort, NC, USA

22  
23 <sup>6</sup> BelleQuant Engineering, PLLC  
24 Mebane, NC USA

25  
26 Tuesday, May 26, 2020

27  
28 Corresponding author:  
29 Laurens E. Howle, Ph.D., P.E., F.ASME  
30 Department of Mechanical Engineering and Materials Science  
31 Duke University  
32 Durham, NC 27708-0300  
33 919.660.5331 (office)  
34 919.660.8963 (fax)  
35 laurens.howle@duke.edu  
36

**37 ABSTRACT**

38 Interconnected tissue compartmental models having two, three, or four compartments, one or  
39 more of which was risk-bearing, have been previously investigated for predicting the probability  
40 of decompression sickness (DCS) in compressed gas diving. We extend this prior work under  
41 general conditions to multiple risk-bearing compartments while providing exact risk function  
42 integrals. Four biophysical models based on different inter-compartmental connections ranging  
43 from uncoupled to fully coupled with bidirectional interaction were trained on a large data set to  
44 reject unjustified model parameters. We also explore how coupled models (and similar  
45 uncoupled models) perform for the prediction of DCS in humans when extrapolated to dives  
46 outside of the training set. The most successful model assumes slower tissues influence faster  
47 tissues with all compartments bearing risk and provide very good predictions for dives with  
48 surface decompression using oxygen.

**49 KEYWORDS**

50 Decompression sickness, optimization, diving, perfusion-diffusion models, multi-exponential  
51 exchange kinetics, probabilistic models, maximum likelihood.

52

## 53 INTRODUCTION

54 Decompression Sickness (DCS) is a condition which can occur in humans when there is a  
55 decrease of ambient pressure and can involve a variety of symptoms ranging from minor to  
56 fatal [1, 2]. Although it is generally accepted that DCS is initiated by the formation and growth  
57 of inert gas bubbles in the body [3], the mechanisms of its various forms are not completely  
58 understood. DCS can be encountered during diving, hyperbaric medical treatments, high altitude  
59 flights, and manned spaceflight operations [4]. Despite advances in methods for limiting the risk  
60 of DCS occurrence, it remains a significant challenge to operating in and exploring extreme  
61 environments.

62 Haldane *et al.* [5] are commonly credited as the first to provide an effective algorithm to  
63 significantly reduce DCS occurrences through a deterministic quasi-physiological mathematical  
64 model. He described the body as a parallel network of independent perfusion-limited tissue  
65 compartments in which the occurrence of DCS depended on the state of supersaturation in each  
66 of the tissues. They computed decompression schedules with this model which were more  
67 successful in comparison to previous methods at limiting DCS in compressed gas workers. In  
68 fact, in an experiment involving goats, they found that the proportion of illnesses with previous  
69 methodologies, based on uniform decompression schedules, was greater than with stage  
70 decompression though the stage decompression exposures completed the decompression in a  
71 third of the time. Yet, this approach was still not totally effective.

72 Noting the probabilistic nature of DCS in a rat model study by Berghage *et al.* [6],  
73 Weathersby [7, 8] introduced a probabilistic approach that treated DCS as a probabilistic binary  
74 variable where  $DCS = 1$  if DCS occurred and  $DCS = 0$  if DCS did not occur; although recent  
75 methods have been developed to simultaneously predict the probability and severity of

76 decompression sickness in humans [9]. This probabilistic approach had three modules: (a) a  
77 deterministic compartmental model, described by uncoupled Ordinary Differential Equations  
78 (ODEs) that computed the partial pressure of nitrogen in parallel tissue compartments; (b) a  
79 nonlinear function that mapped the instantaneous supersaturated nitrogen onto a probability that  
80 a diver would experience DCS; and (c) a body of empirical diving data that included depth-time  
81 dive profiles for many dives along with their binary DCS outcome. Modules (a) and (b) have  
82 undetermined parameters whose optimal values were found from the data in module (c) using the  
83 maximum likelihood approach which resulted in the best possible simulation of the empirical  
84 data by that model [10]. The likelihood approach has produced a large number of statistically-  
85 based decompression tables in which the DCS probability was controlled to target values over a  
86 wide range of dive exposures [11-26].

87         Notwithstanding the advances made during the last century in understanding fundamental  
88 DCS mechanisms and methods for computing decompression schedules, many uncertainties  
89 remain leading to a variety of alternative decompression procedures [27, 28] that still  
90 occasionally result in DCS [29]. Indeed, Doolette reported that Haldane's tables remain desirable  
91 in some cases [30]. The probabilistic models of DCS described above used parallel, perfusion-  
92 limited tissue compartments. The use of mono- or multi-exponential tissue kinetics was  
93 investigated in a dog model by Weathersby *et al.* [31, 32] using dilute  $^{133}\text{Xe}$  breathed for a  
94 specified time interval. In fitting the radio-gas uptake and washout curves, the researchers found  
95 that at least two, frequently three, and occasionally four exponentials were needed to accurately  
96 fit the data. Novotny *et al.* [33] noted that a model based on parallel tissues failed to adequately  
97 describe experimentally measured  $^{133}\text{Xe}$  washout from dog calf muscle. Doolette *et al.* [34-38]  
98 found that models including gas transport between tissue compartments were superior at

99 simulating experimental measurements of inert gas exchange in sheep brain and muscle when  
100 compared to models with uncoupled tissue compartments.

101 The first successful probabilistic decompression model for human divers was based upon  
102 a collection of uncoupled compartments [7, 11]. Later, models incorporating inter-tissue gas  
103 transport were derived from a deterministic model (the Kidd-Stubbs model) incorporating inter-  
104 tissue gas transport [39]. The Kidd-Stubbs model combined a series of four hypothetical tissues  
105 in series; with a single shared input/output to one of the distal compartments. Goldman [40]  
106 included inter-tissue gas transport in probabilistic decompression modeling in which he  
107 considered two or three inter-connected compartments with only the central compartment  
108 contributing to DCS risk and assumed linear dynamics in order to be able to integrate the  
109 equations analytically; yet, risk functions were estimated numerically. He suggested that this new  
110 class of models might potentially extrapolate better to dives not included in his truncated  
111 calibration data than the traditional parallel tissue models without inter-tissue perfusion.

112 In our previous works [41, 42], we extensively explored inter-tissue gas transfer models  
113 and other model structures based upon experimental work in sheep for use in predicting the  
114 probability of DCS in humans. Models containing coupled, perfusion-limited compartments –  
115 but with a single input and output – outperformed the traditional parallel, three-compartment,  
116 perfusion-limited models only for single air bounce dives. Models containing coupling with  
117 perfusion as well as diffusion – again with a single input and output – outperformed the same  
118 traditional parallel, three-compartment, perfusion-limited models for repetitive and multilevel air  
119 dives, dives with oxygen decompression, as well as single air dives. These findings support our  
120 conclusion that a combination of different uncoupled multi-compartment and single-  
121 compartment structures are likely needed to best describe diverse data sets.

122 The object of this paper is a detailed investigation of inter-tissue perfusion to determine if  
 123 there is merit to Goldman's claims. We extend Goldman's model beyond two or three  
 124 compartments, reduce the computational cost by replacing numerical with analytical integration,  
 125 evaluate several risk-bearing tissue compartments rather than only one, and calibrate our new  
 126 models to a larger set of dive profile data. Using linear algebra [49], we provide a general  
 127 solution applicable to interactions between any number of compartmental tissues with linear  
 128 combinations of exponential kinetics while guaranteeing a tissue matrix that remains  
 129 characterized by distinct negative eigenvalues. We provide a general closed-form integral of the  
 130 risk function that allows for fast estimation of DCS risk for numerous profiles without large  
 131 computational cost. Further, we consider the case of multiple compartmental contributions to  
 132 DCS risk; each having a different gain and a different risk threshold to account for differences  
 133 between tissues. We explore the prediction capabilities, model failures, and model robustness  
 134 when large data sets are used. We provide an efficient numerical algorithm to iterate through  
 135 tissue matrices characterized by distinct real negative eigenvalues and propose a numeric  
 136 methodology to restrict analysis to symmetric tissue matrices that may suggest physiological  
 137 properties. Finally, we investigate the extrapolation of inter-tissue perfusion models in  
 138 comparison to the well-known parallel tissue model EE1 [50, 51].

## 139 MATERIALS AND METHODS

### 140 Derivation of Inter-Connected Tissue Kinetics

141 Let the tissue partial tension vector,  $\mathbf{p} \in \mathbb{R}^n$ , be described by the following system of linear  
 142 ordinary differential equations

$$143 \quad \frac{d\mathbf{p}}{dt} = \mathbf{A}\mathbf{p} + \mathbf{f} \cdot p_{a,n}(t) \quad (1)$$

144 where we define  $\mathbf{A} \in \mathbb{R}^{n \times n}$  the *tissue matrix* that is assumed to have distinct negative real  
 145 eigenvalues;  $n$  is the number of well-stirred tissues,  $\mathbf{f} \in \mathbb{R}^n$  is a vector, constant with respect to  
 146 time, and  $p_{a,n}(t)$  is the time varying arterial nitrogen partial pressure.

147 In agreement with Thalmann *et al.* [52] and Goldman [40],  $p_{a,n}$  is assumed to be equal to  
 148 the alveolar nitrogen partial pressure. Therefore, we can distinguish two cases, depending on the  
 149 breathing gas conditions

$$150 \quad p_{a,n}(t) = (1 - FI_{O_2}) \cdot [p^a(t) - p_{H_2O}] \quad (2)$$

151 for constant inspired fraction and

$$152 \quad p_{a,n}(t) = p^a(t) - p_{H_2O} - PI_{O_2}(t) \quad (3)$$

153 for constant inspired partial pressure, as reported in equations (A13) and (A14) in appendix A of  
 154 Goldman [40]; where  $p^a(t)$  refers to the ambient hydrostatic pressure,  $p_{H_2O}$  to the water vapor  
 155 partial pressure at body temperature (i.e., 37°C), and finally  $FI_{O_2}$  and  $PI_{O_2}$  are the fraction of  
 156 oxygen in the inspired gas and its partial pressure, respectively.

157 Throughout this derivation, we make the assumption of piecewise dive segments; thus,  
 158 we can write  $p^a(t)$  as an explicit function of time, so that

$$159 \quad p^a(t) = p_0^a + r^a t \quad (4)$$

160 where  $p_0^a$  is the ambient hydrostatic pressure at time zero, and  $r^a$  is its rate of change with  
 161 respect to time  $t$ . Similarly, we have an expression for the alveolar nitrogen partial pressure. In  
 162 particular, it follows that  $p_{a,n}(t)$  may be expressed as

$$163 \quad p_{a,n}(t) = p_{a,n}(0) + r_{a,n} \cdot t \quad (5)$$



164 where the expression of the constants  $p_{a,n}(0)$  and  $r_{a,n}$  may be easily obtained, using Eq. (2) or  
 165 Eq. (3). For example, in the case of constant inspired partial pressure, we get  
 166  $p_{a,n}(0) = (1 - FI_{O_2})(p_0^a - p_{H_2O})$ , and  $r_{a,n}(t) = r^a(1 - FI_{O_2})$ . We impose the associated initial  
 167 conditions, expressed as  $\mathbf{p}_0 = \mathbf{p}(0) \in \mathbb{R}^n$  as the initial tissue nitrogen tension.

168 Let  $\mathbf{A} = \mathbf{S}\mathbf{D}\mathbf{S}^{-1}$  be the spectral decomposition of the  $\mathbf{A}$  matrix, so that  $\mathbf{S}$  is the matrix of  
 169 eigenvectors of  $\mathbf{A}$ ,  $\mathbf{D}$  is the diagonal matrix formed with the eigenvalues of  $\mathbf{A}$ , and let pose  
 170  $\mathbf{p} = \mathbf{S}\boldsymbol{\varphi}$ . Substituting into Eq. (1) we have the following system of uncoupled differential  
 171 equations for  $\boldsymbol{\varphi}$ :

$$172 \quad \frac{d\boldsymbol{\varphi}}{dt} = \mathbf{D}\boldsymbol{\varphi} + \mathbf{k}_1 + \mathbf{k}_2 \cdot t \quad (6)$$

173 where  $\mathbf{k}_1 = \mathbf{S}^{-1} \mathbf{f} p_{a,n}(0)$ , and  $\mathbf{k}_2 = \mathbf{S}^{-1} \mathbf{f} r_{a,n}$ , with the associated initial conditions  $\boldsymbol{\varphi}_0 = \mathbf{S}^{-1} \mathbf{p}_0$ .

174 After some manipulations, we can write the general solution for  $\mathbf{p}(t)$ , as :

$$175 \quad \mathbf{p}(t) = \mathbf{E}\boldsymbol{\mu}(t) + \boldsymbol{\xi} + \boldsymbol{\tau} \cdot t, \quad (7)$$

177 where  $\mathbf{E} = \mathbf{S}\mathbf{C}$ , with  $\mathbf{C} = \sum_{i=1}^n c_i \mathbf{e}_i \otimes \mathbf{e}_i$ , having addressed with  $\otimes$  the tensor product applied to

178 vectors,  $\mu_i(t) = e^{\lambda_i t}$ ,  $i = 1, 2, \dots, n$ ,  $\boldsymbol{\xi} = \mathbf{S}\boldsymbol{\delta}$ ,  $\boldsymbol{\tau} = \mathbf{S}\boldsymbol{\varepsilon}$  with  $\delta_i = -\frac{k_{2i}}{\lambda_i^2} - \frac{k_{1i}}{\lambda_i}$ ,  $\varepsilon_i = -\frac{k_{2i}}{\lambda_i}$ , and with  $\lambda_i$  the

179  $i$ -th eigenvalue of  $\mathbf{A}$ , assumed to be distinct and strictly negative to ensure stability.

### 180 Analytical Integration of the Risk Function

181 As proposed in Goldman [40], adopting the formulation proposed by Thalmann *et al.* [52], we  
 182 can write the  $i$ -th component of the risk function,  $\boldsymbol{\rho}$ , as

$$183 \quad \rho_i = \frac{p_i(t) - [p^a(t) + b_i]}{p^a(t)} \quad (8)$$

184 where  $b_i$  is constant with respect to time and represents the pressure threshold of the  $i$ -th tissue  
 185 compartment and  $p_i(t)$  is its tissue partial tension, provided by  
 186 (7)**Error! Reference source not found.** Eq. (8) simply states that in each kinetic compartment  
 187 the risk is proportional to the relative supersaturation above a certain threshold  $b_i$ . We are

188 aiming to evaluate the hazard vector  $\zeta$ , defined as  $\zeta = \int_{t_i}^{t_f} \boldsymbol{\rho} dt$ , since the probability of developing  
 189 DCS is related to this function through the following expression

$$190 \quad P_{DCS} = 1 - e^{-\boldsymbol{\alpha}\zeta} \quad (9)$$

191 where  $t_i$  and  $t_f$  are the initial and the final times of the dive segment, respectively, with  $\boldsymbol{\alpha} \in \mathbb{R}^n$  a  
 192 vector of tissue compartment gains that is assumed to be constant with respect to time. While  
 193 Goldman [40] assumes that only the first compartment contributes directly to DCS risk, we  
 194 choose to keep a general notation in our derivation. Specifically, Goldman's models are found by  
 195 imposing  $\boldsymbol{\alpha}$  to be  $[\alpha \ 0 \ 0]^T$  and  $[\alpha \ 0]^T$  for his three (3CG and 3CM) and two compartment  
 196 models (2CG and 2CM), respectively.

197 We integrate the function starting from a general initial time and break the integral into  
 198 parts where the risk function becomes negative. To evaluate the integral, it is more convenient to  
 199 rewrite the expression for  $\boldsymbol{\rho}$  in terms of linear operators so that they may be removed from the  
 200 integration. After substituting Eq. (7)**Error! Reference source not found.** into Eq. (8) and  
 201 simplifying, we have the following expression for  $\boldsymbol{\rho}$

$$202 \quad \boldsymbol{\rho}(t) = \mathbf{E}\mathbf{v}(t) + \bar{\xi} y(t) + \boldsymbol{\tau} \omega(t) - \mathbf{u}. \quad (10)$$

203 where the following definitions apply in Eq. (10)

$$204 \quad \mathbf{v}(t) = \left[ \frac{e^{\lambda_1 t}}{p^a(t)} \quad \frac{e^{\lambda_2 t}}{p^a(t)} \quad \cdots \quad \frac{e^{\lambda_n t}}{p^a(t)} \right]^T, \quad (11)$$

$$205 \quad \bar{\xi} = \xi - \mathbf{b} \quad (12)$$

206  $\mathbf{y}(t) = \frac{1}{p^a(t)}$ ,  $\boldsymbol{\omega}(t) = \frac{t}{p^a(t)}$ , and finally

$$207 \quad \mathbf{u} = \overbrace{[1 \quad 1 \quad \cdots \quad 1]^T}^n. \quad (13)$$

208 With these assumptions, we can estimate  $\zeta$ , as

$$209 \quad \zeta = \mathbf{E} \int_{t_i}^{t_f} \mathbf{v}(t) dt + \bar{\xi} \int_{t_i}^{t_f} y(t) dt + \boldsymbol{\tau} \int_{t_i}^{t_f} \boldsymbol{\omega}(t) dt - (t_f - t_i) \mathbf{u}. \quad (14)$$

210 Every term on the right-hand-side of Eq. (14) must be evaluated. With the assumption of  
 211 piecewise dive segments, we can impose Eq. (4), the definition of the ambient hydrostatic  
 212 pressure. Then, we must distinguish two cases for each term: when  $r^a \neq 0$  and when  $r^a = 0$ .  
 213 After some manipulation, we get the following expressions:

$$214 \quad \int_{t_i}^{t_f} v_i(t) dt = \int_{t_i}^{t_f} \frac{e^{\lambda_i t}}{p_0^a + r^a t} dt = -\frac{1}{r^a} e^{-\bar{\lambda}_i} \left[ EI(\bar{\lambda}_i + \lambda_i t_f) - EI(\bar{\lambda}_i + \lambda_i t_i) \right], \text{ for } r^a \neq 0 \quad (15)$$

215 and

$$216 \quad \int_{t_i}^{t_f} v_i(t) dt = \int_{t_i}^{t_f} \frac{e^{\lambda_i t}}{p_0^a} dt = \frac{1}{p_0^a \lambda_i} \left( e^{\lambda_i t_f} - e^{\lambda_i t_i} \right), \text{ for } r^a = 0 \quad (16)$$

217 where we have introduced the modified eigenvalue  $\bar{\lambda}_i$ , defined as :  $\bar{\lambda}_i = \lambda_i \frac{p_0^a}{r^a}$ , for  $i=1,2,\dots,n$ .

218 In Eq. (15),  $EI(t)$  refers to the exponential integral function, as defined in Abramowitz and

219 Stegun [53], that is,  $EI(t) = \int_{-\infty}^t \frac{e^{-x}}{x} dx$ . Similarly, for the other scalar terms, we have

$$220 \quad \int_{t_i}^{t_f} y(t) dt = \int_{t_i}^{t_f} \frac{dt}{p_0^a + r^a t} = \frac{1}{r^a} \ln \left( \frac{p_0^a + r^a t_f}{p_0^a + r^a t_i} \right), \text{ for } r^a \neq 0 \quad (17)$$

221 and

$$222 \quad \int_{t_i}^{t_f} y_i(t) dt = \int_{t_i}^{t_f} \frac{dt}{p_0^a} = \frac{t_f - t_i}{p_0^a}, \text{ for } r^a = 0. \quad (18)$$

223 Finally, for the integral of  $\omega(t)$  to be evaluated in Eq. (14), we have

$$224 \quad \int_{t_i}^{t_f} \omega_i(t) dt = \int_{t_i}^{t_f} \frac{t}{p_0^a + r^a t} dt = \frac{t_f - t_i}{r^a} + \frac{p_0^a}{(r^a)^2} \ln \left( \frac{p_0^a + r^a t_i}{p_0^a + r^a t_f} \right), \text{ for } r^a \neq 0 \quad (19)$$

225 and

$$226 \quad \int_{t_i}^{t_f} \omega_i(t) dt = \frac{1}{p_0^a} \int_{t_i}^{t_f} t dt = \frac{t_f^2 - t_i^2}{2 \cdot p_0^a}, \text{ for } r^a = 0. \quad (20)$$

227 Equations (15)-(20) are the relations needed to evaluate Eq. (14) and, therefore, the DCS  
228 probability by using Eq. (9).

### 229 **Integration of Positive Definite Portion of the Risk Function**

230 When estimating the probability of DCS, we have to neglect any interval where the risk function  
231 attains negative values. To achieve this, we must find the risk function roots and check for sign  
232 changes. Since the risk function is continuous on its interval of definition, Bolzano's theorem  
233 [54] applies.

234 Recalling Eq. (8), we have to study the sign of the following function

$$235 \quad \text{sign}[\rho_k(t)] = \text{sign}\{p_k(t) - [p^a(t) + b_k]\}, \quad \text{for } k = 1, 2, \dots, n. \quad (21)$$

236 Making use of the general solution for the compartment tissue pressure, the generic component  
237 of the risk function vanishes at time  $\bar{t}$ , if and only if

$$238 \quad \bar{t} \left| \sum_{j=1}^n E_{ij} e^{\lambda_j \bar{t}} + \xi_i + \tau_i \cdot \bar{t} = (p_0^a + r_a \cdot \bar{t} + b). \quad (22)$$

239 The last expression is non-linear with respect to the scalar unknown  $\bar{t}$ , but decoupled, so  
240 without loss of generality, the generic scalar equation can be solved. Rearranging similar time-  
241 dependent terms, we can rewrite Eq. (22), as

$$242 \quad t^0 \left| \sum_{j=1}^n E_j e^{\lambda_j t^0} - \chi \cdot t^0 - \eta = 0 \quad (23)$$

243 where we have defined the following quantities

$$244 \quad \chi = (r_a - \tau) \quad (24)$$

245 and

$$246 \quad \eta = (p_0^a + b - \xi). \quad (25)$$

247 To find the roots of Eq. (23), if any, we proceed as follows. First, each integration interval was  
248 subdivided into  $S$  sub-intervals of equal length. Then, with  $t_i^j$  and  $t_f^j$  as the initial and final  
249 times of the  $j$ -th sub-interval, respectively, we evaluate  $h_j = \rho(t_i^j) \cdot \rho(t_f^j)$ , for  $j = 1, 2, \dots, S$ . For  
250 every sub-interval for which we found  $h_j < 0$ , we applied the Dekker-Brent method [55], to find  
251 the internal root. We choose this algorithm for its robustness and fast convergence and check the  
252 sign of the risk between successive roots, including the two most external intervals starting from

253  $t_i$  and arriving to  $t_f$ . We labeled intervals where the risk function attained negative values and  
254 excluded these from the integration.

## 255 **Selection of Model Parameters**

256 The analytical derivation presented so far is completely general and applicable to all  
257 models governed by linear coupled ordinary differential equations. However, to assess the  
258 goodness-of-fit for a specific model to calibration data, some assumptions have to be made on  
259 the form of the matrix  $\mathbf{A}$ , the vector  $\mathbf{f}$ , and their dimensions. How many tissue compartments to  
260 consider, for example, which parameters to vary, and which constraints to apply are not trivial  
261 choices. Choosing too few parameters might result in a sub-optimal fit of the calibration data  
262 whereas choosing too many might over-fit the data leading to poor extrapolation to dives not in  
263 the calibration data. In this section, we review previous research and in the next section, derive a  
264 new class of models. This will allow a contrast between previous and newer work.

265 Goldman [40] presents four new multi-exponential models: two couples of two (2CG and  
266 2CM) and three compartments (3CG and 3CM), respectively, in which only one compartment is  
267 assumed to directly contribute to DCS risk. This risk-bearing compartment is associated with the  
268 eigenvalue having the largest absolute value. The Goldman models define the maximum number  
269 of free parameters within the tissue matrix  $\mathbf{A}$  as the number of tissues,  $n$ , or the number of  
270 distinct eigenvalues. For 3CG, 3CM and 2CG, 2CM, this requires only three and two parameters,  
271 respectively. We argue, however, that all free parameters of the model (not just the number of  
272 tissues) must be evaluated, and a given parameter rejected only if it fails a likelihood ratio test.

273 Inspecting Goldman's three tissue compartment models, the  $\mathbf{A}$  matrix has the form

$$274 \quad \mathbf{A} = \begin{pmatrix} -3f_{1x} & f_{1x} & f_{1x} \\ f_{21} & -(1+\text{PR}_2)f_{21} & 0 \\ f_{31} & 0 & -(1+\text{PR}_3)f_{31} \end{pmatrix}; \quad \mathbf{f} = \begin{pmatrix} f_{1x} \\ \text{PR}_2 f_{21} \\ \text{PR}_3 f_{31} \end{pmatrix} \quad (26)$$

275 where the following additional constraints were imposed to evaluate Eq. (26):  $\text{PR}_2 = \text{PR}_3 = 0.2$   
 276 and  $\text{PR}_2 = \text{PR}_3 = 0$  for the 3CG and 3CM, respectively. They represent the "perfusion ratio",  
 277 defined as "diffusion rate constants" out of the generic compartment " $i$ ". They were set arbitrarily  
 278 to 0.2, to illustrate the properties of the models; whereas they vanish, in the case of a mammillary  
 279 model, since the connections of compartments 2 and 3 with the circulatory system are severed by  
 280 assumption. This construction guarantees negative and generally distinct eigenvalues if the free  
 281 parameters are strictly positive, but these constraints are arbitrary and only used for testing. The  
 282 connections between the second and the third compartments were also severed. The two  
 283 compartment tissue models are obtained from the previous models by reducing the degrees of  
 284 freedom to two and considering a two-by-two system similar to the three-tissue case.

### 285 **Algorithm for Iterating through Stable Matrices and Choice of Forcing Term**

286 In this section, we remove all or most of the assumptions of Goldman [40] and select  
 287 models based on the form of the  $\mathbf{A}$  matrix as before while only considering cases associated  
 288 with strictly negative distinct eigenvalues. We formulate our assumptions starting from the  
 289 matrix spectral decomposition to clarify how the forcing term may be conveniently related to the  
 290 tissue matrix.

291 Recall our matrix spectral decomposition

$$292 \quad \mathbf{A} = \mathbf{S}\mathbf{D}\mathbf{S}^{-1}. \quad (27)$$

293 By selecting models based on the properties of  $\mathbf{S}$  and  $\mathbf{D}$ , we can easily impose any spectral  
 294 property of  $\mathbf{A}$  and use Eq. (27) to derive  $\mathbf{A}$  *a posteriori*. To consider only negative and distinct

295 eigenvalues, we trivially impose  $\mathbf{D} = \text{diag}(\lambda_1, \lambda_2, \dots, \lambda_n)$ , choosing  $0 > \lambda_n > \lambda_{n-1} > \dots > \lambda_1$ ,  
 296 without loss of generality and maintaining Goldman's convention of choosing the first  
 297 compartment as that associated with the smallest eigenvalue. In so doing, we start from the  
 298 tissue times, as is done with independent tissue models in a more physiological manner.

299 Any invertible diagonalizable matrix,  $\mathbf{S}$ , is appropriate but may have redundant  
 300 parameters since the eigenvector matrix is not unique. We restrict our choice of free parameters  
 301 by considering independent tissue compartments that may be obtained as a limiting case from  
 302 our general formulation by imposing, e.g.,  $\mathbf{S} = \mathbf{I}$ , where  $\mathbf{I} \in \mathbb{R}^{n \times n}$  is the identity matrix. This  
 303 observation suggests that we can relate the difference between the eigenvector matrix  $\mathbf{S}$  and the  
 304 identity matrix to the degree of dependency among the different compartments. Without loss of  
 305 generality, we impose equality among the elements of the main diagonal of  $\mathbf{S}$  since they are  
 306 arbitrary multipliers of the eigenvalues in  $\mathbf{A}$  and assume they are equal to one. It is trivial to  
 307 prove a matrix  $\mathbf{A}$  may be spectrally decomposed by Eq. (27), through an eigenvector matrix  $\mathbf{S}$ ,  
 308 whose main diagonal elements are one under the assumption of distinct eigenvalues. With this  
 309 choice for  $\mathbf{S}$ , we have  $n^2$  free parameters,  $n(n-1)$  for the generic choice of components of  $\mathbf{S}$   
 310 outside the main diagonal plus  $n$  eigenvalues that we would have by considering  $\mathbf{A}$ .

311 We constrain the forcing term multipliers addressed by the vector  $\mathbf{f}$  through the choice of  
 312 the matrices  $\mathbf{S}$  and  $\mathbf{D}$  by inspecting a steady-state solution. Specifically, our model produces a  
 313 constant tissue pressure if we assume a dive profile characterized by constant arterial nitrogen  
 314 tension with the initial condition that all tissue tensions are approximately equal to this constant  
 315 value. For this special case, all derivatives of the partial tissue tension with respect to time must  
 316 vanish. Hence,

$$317 \quad \mathbf{0} = \mathbf{A}\mathbf{p} + \mathbf{f} p_{a,n}. \quad (28)$$



318 With the hypothesis that  $\mathbf{p} = p_{a,n} \mathbf{u}$ , where  $\mathbf{u}$  is the constant unit vector defined in Eq. (13), we  
 319 have the following required expression for the forcing term

$$320 \quad \mathbf{f} = -\mathbf{A}\mathbf{u}. \quad (29)$$

321 Equation (29) is consistent with both independent and uncoupled tissues as in the Goldman  
 322 models.

### 323 **Algorithm for Iterating through Symmetric Matrices**

324 We begin by investigating a balanced nitrogen contribution between any two tissue  
 325 compartments, i.e., symmetric tissue matrices in which the contribution to the  $j$ -th tissue  
 326 provided by the  $i$ -th tissue is equal to that exerted by the  $j$ -th tissue to the  $i$ -th tissue. This  
 327 requires iteration through symmetric matrices starting from their spectral decomposition for  
 328 which we propose a simple algorithm.

329 Recalling Eq. (27), we constrain  $\mathbf{S}$  so that  $\mathbf{A}$  is a symmetric matrix where  $\mathbf{S}$  is  
 330 orthogonal since a real matrix is symmetric if and only if it has an orthonormal basis of  
 331 eigenvectors [52]. Thus, we have

$$332 \quad \mathbf{A} = \mathbf{S}\mathbf{D}\mathbf{S}^{-1} = \mathbf{S}\mathbf{D}\mathbf{S}^T. \quad (30)$$

333 Let  $\bar{\mathbf{u}}_i, i=1,2,\dots,n$ , be the generic column vectors of  $\mathbf{S}$  which are eigenvectors of  $\mathbf{A}$ . First, we

334 arbitrarily choose  $n-1$  components,  $\hat{r}_i = [\varpi_i^1 \varpi_i^2 \cdots \varpi_i^{n-1}]$ ,  $i=1,2,\dots,n$ , for each column of  $\mathbf{S}$ .

335 Then, we rescale for a known scalar greater than their Euclidean norm, so that

$$336 \quad \mathbf{r}_i = \frac{\hat{\mathbf{r}}_i}{\bar{K} \|\hat{\mathbf{r}}\|_2}, i=1,2,\dots,n, \text{ with } \bar{K} > 1. \text{ If, for example, we suppose that } \bar{K} = 1.1, \text{ we compute the}$$

337 last components  $\|\mathbf{u}_i\|_2 = 1, i=1,2,\dots,n$ . We apply the modified Gram-Schmidt process [49] and

338 derive the  $n$ -th column of the orthogonal  $\mathbf{S}$  matrix making  $\mathbf{A}$  symmetric.

### 339 **Model Calibration: Maximum Likelihood Estimation**

340 Maximum likelihood is a statistical method used for comparing the relative goodness of  
 341 fit of models to given calibration data [7, 56, 57]. We review this method below to show how it  
 342 relates to DCS probabilities estimated in the previous sections.

343 Equation (9) expresses the probability that a diver experiences DCS. The probability of  
 344 not experiencing DCS plus the probability of experiencing DCS must equal one by the law of  
 345 total probability implying that

$$346 \quad P_0 = e^{-\alpha \zeta}. \quad (31)$$

347 Suppose that our calibration data consist of  $D$  dives with known outcomes. The likelihood  
 348 function of the entire data set is the joint probability of observing the entire trial,

$$349 \quad L(\text{trial}) = P(\text{obs } 1) P(\text{obs } 2) \cdots P(\text{obs } D), \quad (32)$$

350 as reported in [7]. The probability of observing the  $j$ -th event with  $j = 1, \dots, D$  is

$$351 \quad P(\text{obs } j) = P_{DCS} (\text{dive } j)^{\delta_j} P_o (\text{dive } j)^{1-\delta_j} \quad j = 1, 2, \dots, D, \quad (33)$$

352 where  $\delta_j$  is the outcome variable and equal to one for full DCS and zero for no DCS. A  
 353 marginal DCS event was weighted as 0.1 full DCS event for comparison with Goldman's results  
 354 [40] although Howle *et al.* [58] argued that marginal events should not be used for DCS  
 355 parameter calibration; an assertion that was later investigated by Murphy *et al.* [59] for  
 356 generating iso-risk air diving schedules, where several optimal ascent schedules have been  
 357 obtained, for a given tolerated risk of developing DCS.

358 The natural logarithm of the likelihood is used to avoid extremely low values as this  
 359 monotonic transformation preserves the order of the function [7]. Therefore, the natural log  
 360 likelihood (LL) of the calibration data is estimated with the following expression:

361 
$$\text{LL}(\text{trial}) = \sum_{j=1}^D \log [P(\text{obs } j)]. \quad (34)$$

362 Although not explicitly expressed in Eq. (34), the LL value depends on the model parameters  
363 through probability estimates and observed outcomes. To find the parameter values that  
364 maximize Eq.(34), we have to solve an optimization problem. We note that Eq. (34) generally  
365 constitutes a nonlinear non-convex optimization, making it difficult to solve with local  
366 optimization methods, based on the gradient descent, because they can be trapped in local  
367 minima [60, 61]; thus we adopted the Nelder-Mead algorithm [62] chosen for its robustness and  
368 ability to handle ill-conditioned problems. It is worth noting that, as an alternative to log  
369 likelihood maximization, Bayesian methods have recently been shown to be beneficial in  
370 optimizing probabilistic decomposition sickness models [63].

## 371 **RESULTS AND DISCUSSION**

372 Having derived general solutions to the interconnected tissue model, we now apply these  
373 solutions with a large calibration data set known as "BIG292" [64]. Our objective is to evaluate  
374 how the sub-set of the general model derived by Goldman [40] will extrapolate to this larger  
375 dataset and to compare these results with the performance of the general model. First, we  
376 describe the data and then fit Goldman's most general model (3CG) to this data set. Next, we  
377 describe four novel interconnected models and fit these to BIG292. Last, we compare all models  
378 with the equivalent independent tissue model (EE1 [50, 51]) to verify whether the extra  
379 parameters of the new models are justifiable by the likelihood ratio test. Finally, we examine  
380 how well all models extrapolate (predict the observed outcomes) of data not included in the  
381 BIG292 calibration data.

382           The conditions through which the dives are conducted may greatly impact, among other  
383 factors, the rate of blood circulation, which -in turn- affect the amount of excess nitrogen given  
384 off through the lungs [5], thus ultimately influencing the likelihood of being affected by DCS.  
385 Therefore, it is pivotal to rely on high quality data, reporting the circumstances under which  
386 dives occurred, to have a proper calibration of DCS models. Moreover, it is crucial to have a  
387 consistent definition of DCS events, throughout the study. For collecting the data constituting  
388 the BIG292, Weathersby *et al* “developed a set of diagnostic criteria [...] to serve as a  
389 retrospective tool in determining what symptoms and signs were to be regarded as DCS”.  
390 Furthermore, the conditions under which the dives occurred are clearly identified; for example:  
391 dry dives, where variation between subjects is minimal and the time-depth profile is finely  
392 controlled versus wet dive where a less controlled environment is present and exercise and  
393 thermal factors influence represent nuisance variables.

394           The U.S. Navy has used BIG292 extensively for calibrating probabilistic decompression  
395 models. It consists of 3,322 Air and Oxygen-Nitrogen exposures resulting in 190 full DCS and  
396 110 marginal DCS cases. The BIG292 dive data, together with thousands of additional dive  
397 trials are publicly available in two U.S. Government reports [64, 65]. Because these data are  
398 randomized, de-identified, and publicly available, no IRB approval was required for this study.  
399 The data were collected by the U.S., U.K. and Canadian military facilities from 1944 to 1997 and  
400 include detailed time-depth histories, inspired gas(es), gas switches, and case reports for divers  
401 with full or marginal DCS. To be consistent with Goldman’s approach, we weighted marginal  
402 DCS as 0.1 but did not consider symptom onset times [8]. Conversely, the risk thresholds, vector  
403  $\mathbf{b}$  in Eq. (8), was not set *a priori* and was included in the models to be optimized, whereas  
404 Goldman fixed it to 0.021 [40].

405           When we evaluated the 3CG model on BIG292 using the published optimal parameter  
406 set, it predicted zero DCS probability on 73 dive profiles where DCS does occur. This is  
407 described as *model failure*. We attempted to re-optimize this model using the aforementioned  
408 parameter set as the starting point for the optimization but were unsuccessful again. Finally, we  
409 sampled the likelihood function and adopted more than 110,000 random starting points chosen  
410 from a pseudo random uniform distribution around the optimal set with a range of 1/4 to 4 times  
411 the optimal parameter values. Again, this resulted in model failure leading us to conclude that the  
412 3CG model will not fit the BIG292 data set without model modification.

413           Next, we evaluated four novel interconnected models based on the spectral  
414 decomposition described in the previous section. The models differed depending on assumptions  
415 pertaining to the eigenvector matrix  $\mathbf{S}$ . We examined three tissue models that differed from  
416 Goldman in that all compartments were DCS-risk-active.

417           The following forms for the eigenvector matrix were considered: 1) Upper Triangular  
418 (UT); 2) SKew-symmetric (SK); 3) SYmmetric (SY); 4) GeNeral (GN). UT also produces an  
419 upper triangular tissue matrix: since, if  $\mathbf{S}$  is upper triangular, then  $\mathbf{A}$  will necessarily also be so.  
420 This will make interpretation of the physical elements more direct, because the eigenvalues of an  
421 upper triangular matrix can be promptly read on the matrix main diagonal. Also, since the  
422 tissues are ordered according to increasing eigenvalues, this model assumes that the contribution  
423 of faster tissues to slower tissues may be neglected in estimating the DCS risk: because, for  
424 definition of an upper triangular matrix, we have that  $a_{ij} = 0$  if  $i > j$ . SK and SY were adopted  
425 to investigate whether algebraic constraints on the eigenvector matrix may be compatible with  
426 the data or may have better extrapolation properties. The skew-symmetric matrix refers  
427 exclusively to the components off the main diagonal since the main diagonal of a complete

428 skew-symmetric matrix would be all zeros resulting in a singular matrix. Finally, the GN model  
 429 was the most general model and investigated whether all extra parameters were justifiable or  
 430 were useful for extrapolation to data not in the calibration data set.

431 Figure 1 sketches the differences among the EE1, Goldman's 3CG and the most general  
 432 model introduced in this paper (GN). In the EE1 model and in the models proposed here all  
 433 tissue compartments contribute directly to DCS risk, whereas in Goldman's models only the  
 434 central compartment, characterized by the smallest eigenvalue, has a nonzero risk gain. EE1  
 435 does not include any inter-perfusion phenomenon, while Goldman's models assume only inter-  
 436 perfusion between an external compartment and the central compartment; our model generalizes  
 437 it and includes connections between any two compartments.

438 For example, consider a simple derivation of the UT model with the optimal set reported  
 439 in  
 440 Table 1. For the eigenvector matrix, we have posed,  $s_{ii}=1, i=1,2,\dots,n$  without loss of  
 441 generality, and for the specific model we have  $s_{ij}=0$ , if  $i > j, i=1,2,\dots,n$ . Once we have  
 442 evaluated  $\mathbf{S}$ , we can compute  $\mathbf{S}^{-1}$  and derive  $\mathbf{A}$ , through Eq. (27). Finally, we evaluate the vector  
 443  $\mathbf{f}$ , through Eq. (29). Since

$$444 \quad \mathbf{S} = \begin{bmatrix} 1 & -0.066 & 0.572 \\ 0 & 1 & -2.899 \\ 0 & 0 & 1 \end{bmatrix}, \text{ we get: } \mathbf{A} = \begin{bmatrix} -0.917 & -0.060 & 0.348 \\ 0 & -0.008 & -0.018 \\ 0 & 0 & -0.002 \end{bmatrix}, \text{ and } \mathbf{f} = \begin{bmatrix} 0.629 \\ 0.026 \\ 0.002 \end{bmatrix}.$$

445 The remaining free parameters are the optimal gains, which may be found analytically [66], and  
 446 the thresholds as reported in

447 Table 1.

448

449

Journal Pre-proof

451 Figure 2 schematizes the connections among the three tissues of the UT model. The radii  
452 of the circles reflect the value of the corresponding tissue eigenvalues. All relative terms were  
453 scaled for the absolute value of the corresponding eigenvalue for each tissue. Arrow thickness  
454 represents the strength of the interaction although the figure is not to scale. The direction of the  
455 arrows indicates the direction of the interaction for a positive increment of the relative variable.  
456 For example, the  $a_{23}$  term indicates the effect a change in the third tissue tension has on the rate  
457 of change in the second compartment. Since the arrow departs from the second tissue, we infer  
458 that a positive increment in  $p_3$  contributes to a decrement on  $p_2$ . The double arrow ( $a_{23}$ )  
459 indicates a value roughly double its corresponding eigenvalue. The other models follow the  
460 same technique and are not discussed. We emphasize that any other coupled model may be  
461 expressed through our formulation under the assumptions of unique, negative eigenvalues, and a  
462 diagonalizable tissue matrix. We can compute  $\mathbf{S}$  and  $\mathbf{D}$ , for example, knowing  $\mathbf{A}$  for Goldman's  
463 3CG optimal model. The computed  $\mathbf{S}$  will not always satisfy our assumption of the main  
464 diagonal occupied by ones, however, we can obtain an equivalent matrix from any representation  
465 of  $\mathbf{S}$  by dividing each column by its diagonal term. Should we have a zero on the main diagonal,  
466 it suffices to change the numeration of the column of our problem since we can exclude a whole  
467 row of zeros because  $\mathbf{S}$  is always non-singular.

468 For all models, we tried at least 128 random restarts to search for the best parameter set  
469 using parallel processing and did not consider onset times, as already stated. At first, we  
470 optimized the EE1 model, taken as a benchmark, comprised of three independent tissue  
471 compartments. EE1 has nine adjustable parameters: three tissue time constants, three tissue  
472 gains, and three pressure thresholds. Once we found the optimal parameter set for EE1, we used  
473 it as a starting point for all the interconnected models. This is possible because all models,



474 including EE1, are part of a nested set within the general model so its solutions exist within the  
475 general solution space where the terms of the main diagonal of the eigenvector matrix are all  
476 ones.

477 We adopted best optima from the UT, SK and SY models as starting optimization points  
478 for the GN model. Optimal parameter sets with LL values are shown in

479

Journal Pre-proof

480           **Table 1.** Confidence intervals were computed by inverting the likelihood ratio test [67]  
481 using a Monte Carlo method and are reported as variations from the optimal value in superscript  
482 and subscript.

483           Table 2 contrasts the various models using a likelihood ratio (LR) test and indicates that  
484 the extra parameters for the coupled models are justified with a confidence of at least 95%.  
485 Nevertheless, the SY has a decrement of only 0.12 LL units compared with the GN model  
486 despite GN having three additional parameters, so GN does not pass the LR test when compared  
487 with the SY and UT models. However, GN is better than the SK and UT models. This suggests  
488 that SY is the preferred model when fit to BIG292. Table 3 provides a detailed description of the  
489 predicted DCS probabilities for all four coupled models and compares them with the EE1 model.  
490 All models fit the data with reasonable accuracy, based on risk prediction. The results suggest  
491 that the optimized SY outperforms EE1 for all dive types except for saturation and multi-level  
492 dives.

493           To assess the extrapolation properties of the models, we considered 5,163 exposures  
494 comprised of Air and Oxygen-Nitrogen dives and surface O<sub>2</sub> decompression dives that resulted  
495 in 214 full DCS and 329 marginal DCS events. We extracted this data set, from the original data  
496 sets contained in [55-56] pulling all the data pertaining to the same dive profile, together. Table  
497 4 compares the risk prediction of the four interconnected models with EE1. The UT model  
498 clearly out-performs all the other models both for LL and the number of predicted DCS cases.  
499 Table 4 also reports partial LL values grouped according to dive type in an effort to establish  
500 when coupled models may be worse than EE1 or if a particular model would seem to better  
501 extrapolate for a specific type of dive. The results indicate that SY (and GN, consequently) also  
502 outperforms EE1 and the abrupt decrement in the total LL is mainly due to poor performance for

503 submarine escape dives. On the contrary, UT is confirmed as the best coupled model considered  
504 in this work, and even if it also greatly overestimates the DCS risk for this kind of dive, it is still  
505 considerably better than any other model. Conversely, EE1 still remains the best model when  
506 evaluated on single air dives, both in terms of predicting risk and in terms of LL measure.  
507 Overall, the best coupled model, UT, presents a percentage error less than 5% when estimating  
508 the total number of DCS cases on the whole extrapolation set, as opposed to more than 24%  
509 when adopting the equivalent uncoupled model, EE1. Finally, we observe the superior  
510 predictions for the UT model on surface O<sub>2</sub> decompression and higher LL than other models of  
511 similar degrees-of-freedom.

## 512 **CONCLUSION**

513 We investigated the fitting quality and extrapolation capabilities of coupled  
514 compartmental models for predicting DCS probability in compressed gas diving. The motivation  
515 for this work was to investigate certain tissue compartment couplings with an *a-priori*  
516 specification of the coupling structure. Our coupled compartmental models allowed, in the most  
517 general case, for inert gas exchange with the circulatory system and between all individual  
518 compartments. We proposed a new formulation of the coupled tissues model based on the  
519 spectral decomposition of the tissue interconnection matrix and further derived piecewise exact  
520 gas exchange solutions for the new models. The exact solutions represent a projection of the  
521 original problem onto a space spanned by the eigenvectors of the tissue coupling matrix. In the  
522 space of the projected problem, the "compartments" decouple from one another making the  
523 problem far simpler and faster to optimize. Our interconnected models have, as a trivial subset, a  
524 well-tested parallel tissue model (EE1) allowing for rigorous comparison between our new  
525 models and this previous parallel model. Four distinct types of tissue interconnections were

526 considered and arose naturally from the form of the off-diagonal structure of the eigenvector  
527 matrix. These four classes of interconnected models were the (1) skew-symmetric, (2) general,  
528 (3) upper triangular, and (4) symmetric models. The symmetric interconnected model had the  
529 best predictive quality for the training data set. In comparison to all four new interconnected  
530 models, the nested parallel model (EE1) was rejected with at least 95% confidence. Although  
531 the best of the inter-connected models to fit the calibration data was the symmetric model, the  
532 upper triangular model extrapolated better to dive profiles outside of the training data set. The  
533 upper triangular model also outperformed all of the other models on O<sub>2</sub> surface decompression  
534 dive profiles. There are many extensions of our new models yet to be explored, for example, the  
535 use of DCS symptom onset times in optimizing the models and gas saturation/bubble phase  
536 change, as well as the introduction of some penalization to ensure a minimum good fit to each  
537 subset of DCS for each diving type but the preliminary results presented in this paper indicate  
538 that our new models are promising for use in predicting DCS probability and for dive planning.

539

#### 540 **ACKNOWLEDGEMENTS**

541 We would like to thank Drs. Wayne Gerth and David Doolette, both of the Navy  
542 Experimental Diving Unit, for their reviews of this paper and for their helpful comments. This  
543 work was supported by Naval Sea Systems Command (NAVSEA-00C -  
544 <http://www.navsea.navy.mil/>) under contracts #N61331-06-C-0014, #N00024-13-C-4104 and  
545 #N00024-17-C-4317 and the Office of Naval Research under grant N000141310063. BelleQuant  
546 Engineering, PLLC provided computational resources. Neither the funding agency nor the  
547 commercial entity played any role in designing this study, data collection and analysis, decision  
548 to publish, interpreting the results, or writing the manuscript.

549 **REFERENCES**

- 550 [1] R.D. Vann, F.K. Butler, S.J. Mitchell, R.E. Moon, Decompression illness, *Lancet*, 377 (2011) 153-164.
- 551 [2] R.D. Vann, D. P.J., L.E. Howle, P.W. Weber, J.J. Freiburger, C.F. Pieper, Resolution and severity in
- 552 decompression illness, *Aviation Space and Environmental Medicine*, 80 (2009) 466-471.
- 553 [3] R.D. Vann, Mechanisms and Risks of Decompression, in: A.A. Bove, J.C. Davis (Eds.) *Bove and Davis'*
- 554 *diving medicine*, Saunders, Philadelphia, 2004, pp. 127-164.
- 555 [4] R.E. Moon, R.D. Vann, P.B. Bennett, The physiology of decompression illness, *Scientific American*, 273
- 556 (1995) 59-61.
- 557 [5] A.E. Boycott, G.C.C. Damant, J.S. Haldane, The prevention of compressed air illness, *Journal of*
- 558 *Hygiene*, 8 (1908) 342-443.
- 559 [6] T.E. Berghage, J.M. Woolley, L.J. Keating, The probabilistic nature of decompression sickness,
- 560 *Undersea Biomedical Research*, 1 (1974) 189-196.
- 561 [7] P.K. Weathersby, L.D. Homer, E.T. Flynn, On the likelihood of decompression sickness, *Journal of*
- 562 *Applied Physiology*, 57 (1984) 815-825.
- 563 [8] P.K. Weathersby, S.S. Survanshi, L.D. Homer, E. Parker, E.D. Thalmann, Predicting the time of
- 564 occurrence of decompression sickness, *Journal of Applied Physiology*, 72 (1992) 1541-1548.
- 565 [9] L.E. Howle, P.W. Weber, E.A. Hada, R.D. Vann, P.J. Denoble, The probability and severity of
- 566 decompression sickness, *PLoS One* 12(3), 2017.
- 567 [10] A.W.F. Edwards, *Likelihood; an account of the statistical concept of likelihood and its application to*
- 568 *scientific inference*, University Press, Cambridge Eng., 1972.
- 569 [11] P.K. Weathersby, S.S. Survanshi, L.D. Homer, B.L. Hart, R.Y. Nishi, E.T. Flynn, M.E. Bradley,
- 570 *Statistically Based Decompression Tables I: Analysis of Standard Air Dives: 1950-1970.*, in: U.S. Navy
- 571 (Ed.), 1985.
- 572 [12] P.K. Weathersby, J.R. Hays, S.S. Survanshi, L.D. Homer, B.L. Hart, E.T. Flynn, M.E. Bradley,
- 573 *Statistically Based Decompression Tables II: Equal Risk Air Diving Decompression Schedules*, in: U.S. Navy
- 574 (Ed.)Bethesda, MD, 1985.
- 575 [13] P.K. Weathersby, S.S. Survanshi, J.R. Hays, M.E. MacCallum, *Statistically Based Decompression*
- 576 *Tables III: Comparative Risk Using U.S. Navy, British, and Canadian Standard Air Schedules*, in: U.S. Navy
- 577 (Ed.)Bethesda, MD, 1986.
- 578 [14] J.R. Hays, B.L. Hart, P.K. Weathersby, S.S. Survanshi, L.D. Homer, E.T. Flynn, *Statistically based*
- 579 *decompression tables IV: Extension to Air and N<sub>2</sub>-O<sub>2</sub> saturation diving*, in: U.S. Navy (Ed.), 1986.
- 580 [15] Y.J. Parsons, P.K. Weathersby, S.S. Survanshi, E.T. Flynn, *Statistically Based Decompression Tables V:*
- 581 *Haldane-Vann Models for Air Diving*, in: U.S. Navy (Ed.), 1989.
- 582 [16] G.W. Albin, P.K. Weathersby, *Statistically Based Decompression Tables VI: Repeat Dives on Oxygen/*
- 583 *Nitrogen Mixes*, in: U.S. Navy (Ed.)Bethesda, MD, 1991.
- 584 [17] P.K. Weathersby, S.S. Survanshi, R.Y. Nishi, E.D. Thalmann, *Statistically Based Decompression Tables*
- 585 *VII: Selection and Treatment of Primary Air and N<sub>2</sub>O<sub>2</sub> Data*, in: U.S. Navy (Ed.), 1992.
- 586 [18] E.C. Parker, S.S. Survanshi, P.K. Weathersby, E.D. Thalmann, *Statistically Based Decompression*
- 587 *Tables VIII: Linear-Exponential Kinetics*, in: U.S. Navy (Ed.)Bethesda, MD, 1992.
- 588 [19] E.C. Parker, S.S. Survanshi, E.D. Thalmann, P.K. Weathersby, *Statistically Based Decompression*
- 589 *Tables IX: Probabilistic Models of the Role of Oxygen in Human Decompression Sickness*, in: U.S. Navy
- 590 (Ed.)Bethesda, MD, 1996.
- 591 [20] S.S. Survanshi, P.K. Weathersby, E.D. Thalmann, *Statistically Based Decompression Tables X: Real-*
- 592 *Time Decompression Algorithm Using a Probabilistic Model*, in: U.S. Navy (Ed.)Bethesda, MD, 1996.
- 593 [21] E.D. Thalmann, P.C. Kelleher, S.S. Survanshi, E.C. Parker, P.K. Weathersby, *Statistically Based*
- 594 *Decompression Tables XI: Manned Validation of the LE Probabilistic Model for Air and Nitrogen-Oxygen*
- 595 *Diving*, in: U.S. Navy (Ed.)Bethesda, MD, 1999.

- 596 [22] S. S.S., E.C. Parker, E.D. Thalmann, W. P.K., Statistically Based Decompression Tables XII: Volume I.  
597 Repetitive Decompression Tables for Air and Constant 0.7 ATA PO<sub>2</sub> in N<sub>2</sub> using a Probabilistic Model, in:  
598 U.S. Navy (Ed.)Bethesda, MD, 1997.
- 599 [23] S. S.S., E.C. Parker, E.D. Thalmann, W. P.K., Statistically Based Decompression Tables XII: Volume II.  
600 Repetitive Dive Tables: Air, in: U.S. Navy (Ed.)Bethesda, MD, 1997.
- 601 [24] S. S.S., E.C. Parker, E.D. Thalmann, W. P.K., Statistically Based Decompression Tables XII: Volume III.  
602 Exceptional Exposure Tables: Air, in: U.S. Navy (Ed.)Bethesda, MD, 1997.
- 603 [25] S. S.S., E.C. Parker, E.D. Thalmann, W. P.K., Statistically Based Decompression Tables XII: Volume IV.  
604 Repetitive Dive Tables: 0.7 ATA PO<sub>2</sub> in N<sub>2</sub>, in: U.S. Navy (Ed.)Bethesda, MD, 1997.
- 605 [26] S. S.S., E.C. Parker, E.D. Thalmann, W. P.K., Statistically Based Decompression Tables XII: Volume V.  
606 Exceptional Exposure Tables: 0.7 ATA PO<sub>2</sub> in N<sub>2</sub>, in: U.S. Navy (Ed.)Bethesda, MD, 1997.
- 607 [27] H.D. Van Liew, E.T. Flynn, Graphical analysis: Decompression tables and dive-outcome data, in: U.S.  
608 Navy (Ed.)Panama City, FL, 2004.
- 609 [28] H.D. Van Liew, E.T. Flynn, Decompression tables and dive-outcome data: graphical analysis,  
610 Undersea & Hyperbaric Medicine, 32 (2005) 187-198.
- 611 [29] H.L. Andersen, Decompression sickness during construction of the Great Belt Tunnel, Denmark,  
612 Undersea & Hyperbaric Medicine, 29 (2002) 172-188.
- 613 [30] D.J. Doolette, Haldane Still Rules!, in: M.A. Lang, A.O. Brubakk (Eds.) Future of Diving:100 Years of  
614 Haldane and Beyond, Smithsonian Institution Scholarly Press2009, pp. 29-32.
- 615 [31] P.K. Weathersby, E.E.P. Barnard, L.D. Homer, K.G. Mendenhall, Stochastic description of inert gas  
616 exchange, Journal of Applied Physiology, 47 (1979) 1263-1269.
- 617 [32] P.K. Weathersby, K.G. Mendenhall, E.E.P. Barnard, L.D. Homer, S. Survanshi, F. Vieras, Distribution  
618 of xenon gas exchange rates in dogs, Journal of Applied Physiology, 50 (1981) 1325-1336.
- 619 [33] J.A. Novotny, D.L. Mayers, Y.F. Parsons, S.S. Survanshi, P.K. Weathersby, L.D. Homer, Xenon kinetics  
620 in muscle are not explained by a model of parallel perfusion-limited compartments, Journal of Applied  
621 Physiology, 68 (1990) 876-890.
- 622 [34] D.J. Doolette, R.N. Upton, C. Grant, Diffusion-limited, but not perfusion-limited, compartmental  
623 models describe cerebral nitrous oxide kinetics at high and low cerebral blood flows, Journal of  
624 pharmacokinetics and biopharmaceutics, 26 (1998) 649-672.
- 625 [35] D.J. Doolette, R.N. Upton, C. Grant, Countercurrent compartmental models describe hind limb  
626 skeletal muscle helium kinetics at resting and low blood flows in sheep, Acta physiologica Scandinavica,  
627 185 (2005) 109-121.
- 628 [36] D.J. Doolette, R.N. Upton, D. Zheng, Diffusion-limited tissue equilibration and arteriovenous  
629 diffusion shunt describe skeletal muscle nitrous oxide kinetics at high and low blood flows in sheep, Acta  
630 physiologica Scandinavica, 172 (2001) 167-177.
- 631 [37] D.J. Doolette, R.N. Upton, C. Grant, Altering blood flow does not reveal differences between  
632 nitrogen and helium in brain or in skeletal muscle in sheep, Journal of Applied Physiology, 119 (2015)  
633 586-594.
- 634 [38] D.J. Doolette, R.N. Upton, C. Grant, Altering blood flow does not reveal differences between  
635 nitrogen and helium kinetics in brain or in skeletal muscle in sheep, Journal of Applied Physiology, 118  
636 (2015) 586-594.
- 637 [39] R.Y. Nishi, G.R. Lauckner, Development of the DCIEM 1983 decompression model for compressed  
638 air diving, in: Department of National Defence - Canada (Ed.), Defence and Civil Institute of  
639 Environmental Medicine, Downsview, Ontario, Canada, 1984.
- 640 [40] S. Goldman, A new class of biophysical models for predicting the probability of decompression  
641 sickness in scuba diving, Journal of Applied Physiology, 103 (2007) 484-493.

- 642 [41] F.G. Murphy, E.A. Hada, D.J. Doolette, L.E. Howle, Probabilistic pharmacokinetic models of  
643 decompression sickness in humans, Part 1: Coupled perfusion-limited compartments, *Computers in*  
644 *Biology and Medicine*, 86 (2017) 55-64.
- 645 [42] F.G. Murphy, E.A. Hada, D.J. Doolette, L.E. Howle, Probabilistic pharmacokinetic models of  
646 decompression sickness in humans, Part 2: Coupled perfusion-diffusion models, Submitted, (2017).
- 647 [43] C. Balestra, P. Germonpre, Correlation between Patent Foramen Ovale, Cerebral "Lesions" and  
648 Neuropsychometric Testing in Experienced Sports Divers: Does Diving Damage the Brain?, *Front Psychol*,  
649 7 (2016).
- 650 [44] D. Cialoni, M. Pieri, C. Balestra, A. Marroni, Flying after diving: should recommendations be  
651 reviewed? In-flight echocardiographic study in bubble-prone and bubble-resistant divers, *Diving Hyperb*  
652 *Med*, 45 (2015) 10-15.
- 653 [45] P. Germonpre, C. Balestra, Preconditioning to reduce decompression stress in scuba divers,  
654 *Aerospace Medicine and Human Performance*, 88 (2017) 114-120.
- 655 [46] P. Germonpre, J.M. Pontier, E. Gempp, J.E. Blatteau, S. Deneweth, P. Lafere, A. Marroni, C. Balestra,  
656 Pre-dive vibration effect on bubble formation after a 30-m dive requiring a decompression stop,  
657 *Aviation Space and Environmental Medicine*, 80 (2009) 1044-1048.
- 658 [47] K. Lambrechts, C. Balestra, M. Theron, A. Henckes, H. Galinat, F. Mignant, M. Belhomme, J.-M.  
659 Pontier, Venous gas emboli are involved in post-dive macro, but not microvascular dysfunction,  
660 *European Journal of Applied Physiology*, 117 (2017) 335-344.
- 661 [48] S.R. Thom, M. Bennett, N.D. Banham, W. Chin, D.F. Blake, A. Rosen, N.W. Pollock, D. Madden, O.  
662 Barak, A. Marroni, C. Balestra, P. Germonpre, M. Pieri, D. Cialoni, P.N.J. Le, C. Logue, D. Lambert, K.R.  
663 Hardy, D. Sward, M. Yang, V.B. Bhopale, Z. Dujic, Association of microparticles and neutrophil activation  
664 with decompression sickness, *Journal of Applied Physiology*, 119 (2015) 427-434.
- 665 [49] R.A. Horn, C.R. Johnson, Matrix analysis, [Reprinted with corr.] ed., Cambridge University Press,  
666 Cambridge Cambridgeshire ; New York, 1991.
- 667 [50] M.F. Morales, R.E. Smith, On the theory of blood-tissue exchange of inert gases: VI. Validity of  
668 approximate uptake expressions, *Bulletin of Mathematical Biophysics*, 10 (1948) 191-200.
- 669 [51] S.S. Kety, The Theory and Applications of the Exchange of Inert Gas at the Lungs and Tissues,  
670 *Pharmacological Reviews*, 3 (1951) 1-41.
- 671 [52] E.D. Thalmann, E.C. Parker, S.S. Survanshi, P.K. Weathersby, Improved probabilistic decompression  
672 model risk predictions using linear-exponential kinetics, *Undersea & Hyperbaric Medicine*, 24 (1997)  
673 255-274.
- 674 [53] M. Abramowitz, I.A. Stegun, Handbook of mathematical functions, with formulas, graphs, and  
675 mathematical tables, Dover Publications, New York, 1972.
- 676 [54] S.B. Russ, A translation of Bolzano's paper on the intermediate value theorem, *Historia*  
677 *Mathematica*, 7 (1980) 156-185.
- 678 [55] R.P. Brent, An algorithm with guaranteed convergence for finding a zero of a function, *The*  
679 *Computer Journal*, 14 (1971) 422-425.
- 680 [56] K.A. Brownlee, Statistical theory and methodology in science and engineering, Wiley, New York,,  
681 1960.
- 682 [57] P. Tikuisis, R.Y. Nishi, P.K. Weathersby, Use of the Maximum-Likelihood Method in the Analysis of  
683 Chamber Air Dives, *Undersea Biomedical Research*, 15 (1988) 301-313.
- 684 [58] L.E. Howle, P.W. Weber, R.D. Vann, M.C. Campbell, Marginal DCS events: their relation to  
685 decompression and use in DCS models, *Journal of Applied Physiology*, 107 (2009) 1539-1547.
- 686 [59] F.G. Murphy, A.J. Swingler, W.A. Gerth, L.E. Howle, Iso-risk air no decompression limits after scoring  
687 marginal decompression sickness cases as non-events, Submitted, (2017).
- 688 [60] R. Fletcher, Practical methods of optimization, 2nd ed., Wiley, Chichester ; New York, 1987.
- 689 [61] C.T. Kelley, Iterative methods for optimization, SIAM, Philadelphia, 1999.

- 690 [62] J.A. Nelder, R. Mead, A simplex method for function minimization, *The Computer Journal*, 7 (1965)  
691 308-313.
- 692 [63] L.E. Howle, P.W. Weber, J. Nichols, Bayesian approach to decompression sickness model parameter  
693 estimation, *Computers in Biology and Medicine*, 83 (2017) 3-11.
- 694 [64] D.J. Temple, R. Ball, P.K. Weathersby, E.C. Parker, S.S. Survanshi, *The Dive Profiles and  
695 Manifestations of Decompression Sickness Cases After Air and Nitrogen-Oxygen Dives. Volume I: Data  
696 Set Summaries, Manifestation Descriptions, and Key Files*, in: U.S. Navy (Ed.)Bethesda, MD, 1999.
- 697 [65] D.J. Temple, P.K. Ball, W. P.K., E.C. Parker, S. S.S., *The Dive Profiles and Manifestations of  
698 Decompression Sickness Cases After Air and Nitrogen-Oxygen Dives. Volume II: Complete Profiles and  
699 Graphic Representations for DCS Events*, in: U.S. Navy (Ed.)Bethesda, MD, 1999.
- 700 [66] L.E. Howle, Analytic gain in probabilistic decompression sickness models, *Computers in Biology and  
701 Medicine*, 43 (2013) 1738-1747.
- 702 [67] G. Casella, R.L. Berger, *Statistical inference*, Brooks/Cole Pub. Co., Pacific Grove, Calif., 1990.  
703
- 704



705 **Table 1** Fitted values of the model parameters, eigenvalues, eigenvector matrix components,  
 706 tissue gains, thresholds, and log likelihood function and predicted DCS cases for the five models  
 707 investigated: Exponential-Exponential (EE1), Upper Triangular (UT), SKew-symmetric (SK),  
 708 SYmmetric (SY) and GeNeRal (GN). 95% confidence intervals are reported in superscript and  
 709 subscript as increments with respect to the optimal value.  
 710

Parameters	EE1	UT	SK	SY	GN
$\lambda_1 [\text{min}^{-1}]$	-0.424 <sup>+0.078</sup> <sub>-0.084</sub>	-0.917 <sup>+0.306</sup> <sub>-0.467</sub>	-0.320 <sup>+0.032</sup> <sub>-0.042</sub>	-0.317 <sup>+0.056</sup> <sub>-0.087</sub>	-0.317 <sup>+0.052</sup> <sub>-0.084</sub>
$\lambda_2 \cdot 10 [\text{min}^{-1}]$	-0.214 <sup>+0.032</sup> <sub>-0.043</sub>	-0.084 <sup>+0.009</sup> <sub>-0.006</sub>	-0.135 <sup>+0.008</sup> <sub>-0.002</sub>	-0.167 <sup>+0.017</sup> <sub>-0.011</sub>	-0.167 <sup>+0.013</sup> <sub>-0.010</sub>
$\lambda_3 \cdot 10^2 [\text{min}^{-1}]$	-0.217 <sup>+0.015</sup> <sub>-0.013</sub>	-0.221 <sup>+0.011</sup> <sub>-0.015</sub>	-0.221 <sup>+0.004</sup> <sub>-0.007</sub>	-0.229 <sup>+0.013</sup> <sub>-0.012</sub>	-0.229 <sup>+0.011</sup> <sub>-0.011</sub>
$S_{12}$		-0.066 <sup>+0.010</sup> <sub>-0.015</sub>	-1.266 <sup>+0.105</sup> <sub>-0.034</sub>	-0.397 <sup>+0.001</sup> <sub>-0.002</sub>	-0.397 <sup>+0.001</sup> <sub>-0.001</sub>
$S_{13}$		0.572 <sup>+0.021</sup> <sub>-0.028</sub>	-1.099 <sup>+0.007</sup> <sub>-0.024</sub>	0.953 <sup>+0.002</sup> <sub>-0.002</sub>	0.953 <sup>+0.001</sup> <sub>-0.002</sub>
$S_{21}$					-0.397 <sup>+0.075</sup> <sub>-0.067</sub>
$S_{23}$		-2.899 <sup>+0.245</sup> <sub>-0.311</sub>	-0.503 <sup>+0.020</sup> <sub>-0.042</sub>	-0.413 <sup>+0.001</sup> <sub>-0.001</sub>	-0.413 <sup>+0.038</sup> <sub>-0.036</sub>
$S_{31}$					0.953 <sup>+0.003</sup> <sub>-0.002</sub>
$S_{32}$					-0.413 <sup>+0.001</sup> <sub>-0.001</sub>
$\alpha_1$	0.219 <sup>+0.223</sup> <sub>-0.132</sub>	0.024 <sup>+0.012</sup> <sub>-0.010</sub>	3.540 <sup>+3.009</sup> <sub>-2.270</sub>	0.960 <sup>+1.068</sup> <sub>-0.524</sub>	1.070 <sup>+0.948</sup> <sub>-0.625</sub>
$\alpha_2 \cdot 10^2$	0.670 <sup>+0.210</sup> <sub>-0.180</sub>	0.0652 <sup>+0.013</sup> <sub>-0.011</sub>	0.182 <sup>+0.077</sup> <sub>-0.072</sub>	0.186 <sup>+0.049</sup> <sub>-0.044</sub>	0.192 <sup>+0.048</sup> <sub>-0.042</sub>
$\alpha_3 \cdot 10^2$	0.186 <sup>+0.037</sup> <sub>-0.035</sub>	0.046 <sup>+0.040</sup> <sub>-0.035</sub>	0.102 <sup>+0.020</sup> <sub>-0.019</sub>	0.145 <sup>+0.037</sup> <sub>-0.003</sub>	0.145 <sup>+0.034</sup> <sub>-0.030</sub>
$b_1 [\text{atm}]$	1.427 <sup>+0.162</sup> <sub>-0.165</sub>	-0.076 <sup>+0.014</sup> <sub>-0.009</sub>	0.879 <sup>+0.027</sup> <sub>-0.008</sub>	0.662 <sup>+0.051</sup> <sub>-0.022</sub>	0.662 <sup>+0.058</sup> <sub>-0.018</sub>
$b_2 [\text{atm}]$	0.370 <sup>+0.049</sup> <sub>-0.046</sub>	0.435 <sup>+0.099</sup> <sub>-0.097</sub>	0.040 <sup>+0.099</sup> <sub>-0.077</sub>	0.383 <sup>+0.084</sup> <sub>-0.061</sub>	0.395 <sup>+0.079</sup> <sub>-0.061</sub>
$b_3 \cdot 10 [\text{atm}]$	-0.519 <sup>+0.149</sup> <sub>-0.151</sub>	-0.367 <sup>+1.047</sup> <sub>-0.473</sub>	0.355 <sup>+0.267</sup> <sub>-0.271</sub>	-0.606 <sup>+0.192</sup> <sub>-0.177</sub>	-0.606 <sup>+0.200</sup> <sub>-0.170</sub>
LL	-705.82	-697.33	-701.15	-692.11	-691.99
Predicted DCS	201.4	200.3	201.1	201.3	201.5

711 **Table 2** Model comparison through the log-likelihood difference test. All four multi-exponential  
 712 models pass the likelihood difference test, when compared with EE1. We can reject the EE1  
 713 model, in favor of any of the models including inter-tissue perfusions for prediction of DCS on  
 714 the training set ( $P < 0.05$ ). Conversely, the extra degrees of freedom introduced by the GN model  
 715 are not justifiable at the 5% significance level, when the test is conducted against the SY model.

	2 LL difference	Extra Degrees of Freedom	95% Limit Value	95% Test Passed
UT/EE1	16.98	3	7.81	TRUE
SK/EE1	9.33	3	7.81	TRUE
SY/EE1	27.41	3	7.81	TRUE
GN/EE1	27.65	6	12.59	TRUE
GN/UT	10.67	3	7.81	TRUE
GN/SK	18.32	3	7.81	TRUE
GN/SY	0.24	3	7.81	FALSE

716

717 **Table 3** Number of DCS cases predicted by the four multi-exponential and the null models for  
 718 the calibration data set "BIG 292". The predicted number of DCS cases are provided for the  
 719 groups of dives and analytically for each file present in the calibration data. All models seem to  
 720 adequately fit the calibration data set.

	Dives	Observed DCS	EE1	UT	SK	SY	GN
<b>Single Air</b>							
EDU885A	483	30	25.2	28.0	23.8	28.4	28.5
DC4W	244	8.4	7.7	5.8	7.7	8.0	8.3
SUBX87	58	2	1.9	1.8	2.5	1.7	1.9
NMRNSW	91	5.5	7.1	4.4	5.6	5.4	5.4
NSM6HR	57	3.2	4.6	2.4	4.0	3.4	3.4
Total	933	49.1	46.5	42.4	43.5	47.0	47.5
<b>Single Air Decompression</b>							
PASA	72	5.2	2.1	3.4	2.6	2.2	2.2
<b>Repetitive air</b>							
EDU885AR	182	11	11.9	11.1	10.6	11.5	11.5
DC4WR	12	3	0.6	0.8	0.8	0.7	0.7
PARA	135	7.3	7.9	8.3	8.2	7.3	7.2
Total	329	21.3	20.4	20.1	19.6	19.5	19.4
<b>Multi-Level Air</b>							
PAMLA	236	14.2	17.7	14.1	17.0	16.5	16.4
<b>Single non-air</b>							
NMR8697	477	12.8	18.7	15.9	15.4	15.3	15.2
EDU885M	81	4	3.6	4.2	3.0	4.6	4.6
EDU885S	94	4	3.2	4.0	3.7	3.9	3.9
EDU1180S	120	10	6.2	7.9	6.9	9.0	9.1
Total	772	308	31.7	32.1	29.0	32.8	32.8
<b>Repetitive non-air</b>							
EDU184	239	11	10.4	10.4	13.4	10.9	10.8
<b>Air Saturation</b>							
ASATEDU	120	15.7	13.4	12.3	10.6	12.0	12.0
ASATNSM	132	20.1	21.0	32.2	28.9	24.2	24.3
ASATNMR	50	1	5.0	2.2	3.7	4.1	4.1
Total	302	36.8	39.4	46.7	43.3	40.3	40.3
<b>Non-air Saturation</b>							
ASATARE	165	21.3	20.3	20.1	18.4	17.5	17.4
<b>Multi-Level SDV; PO2=0.7 Decompressions</b>							
PAMLAOD	134	6	8.0	7.1	8.6	10.3	10.2
<b>Multi-Level SDV; PO2=0.7 Transits</b>							
PAMLAOS	140	5.3	4.9	3.8	5.8	4.4	4.3

721

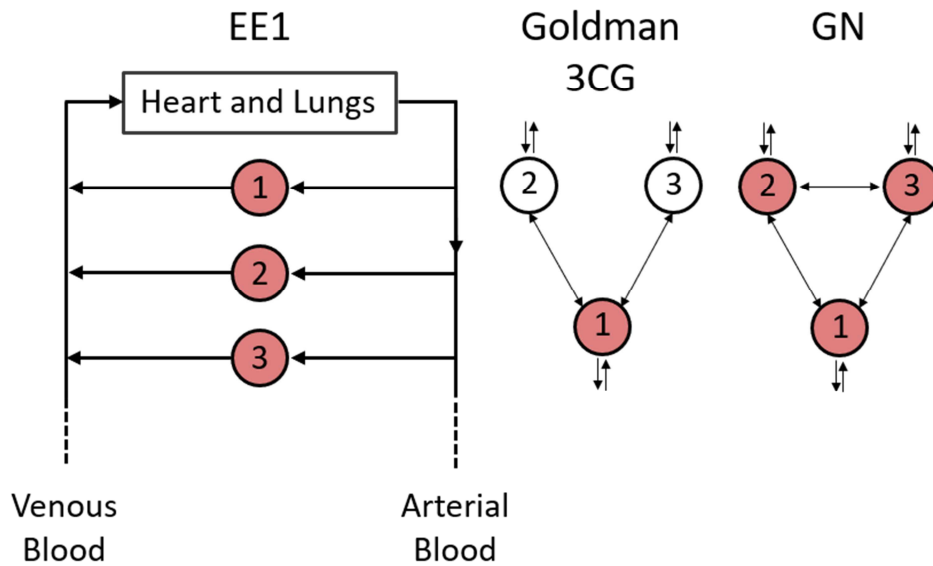
722 **Table 4** Evaluation of the extrapolation capabilities of the five models on a set of data not  
 723 included in the calibration data set and comparison with the EE1 model. The Pearson's  $\chi^2$   
 724 results show that the UT model outperforms the other interconnected compartment models and  
 725 the null model on the extrapolation data set. The UT model performs especially well on O<sub>2</sub>  
 726 surface decompression dives. The other multi-exponential models (SK, SY, and GN) generalize  
 727 more poorly than the null model (EE1) to the extrapolation data. Additionally, the null model  
 728 (EE1) is the best performer in the single air category.

	Dives	Observed DCS	EE1	UT	SK	SY	GN
<b>Single Air</b>							
DC4D	797	19.4	19.1	16.1	22.9	22.6	23.5
EDU849LT2	141	29.8	16.7	8.1	8.5	10.8	11.0
NMR97NOD	103	3.4	4.2	3.8	4.6	3.1	3.1
EDU545	94	18.7	7.5	7.2	6.6	7.6	7.7
EDU557	568	27	50.8	32.3	31.4	37.8	38.3
EDU1157	46	15.6	14.9	28.2	11.7	14.4	14.5
EDU1351NL	143	2.7	5.3	3.5	3.5	4.3	4.3
NMRNSW2	91	5.5	7.1	4.4	5.6	5.4	5.4
Total	1983	122.1	125.6	103.8	95.0	106.2	107.8
Pearson's $\chi^2$			0.0951	3.2401	7.7241	2.3957	1.9012
<b>Repetitive air</b>							
DC4DR	142	1	4.1	6.5	5.6	4.7	4.7
EDU657Corrected	142	4	11.3	9.2	8.9	9.5	9.5
Total	284	5	15.4	15.6	14.4	14.2	14.2
Pearson's $\chi^2$			7.0109	7.2430	6.1722	5.9404	5.9590
<b>Repetitive/Multilevel Non-Air</b>							
EDU1180R	128	2	13.4	12.5	13.6	17.5	17.5
Pearson's $\chi^2$			9.6936	8.7888	9.9010	13.7167	13.7276
<b>Air + O<sub>2</sub> Decompression</b>							
DC8AOW	46	3.1	0.1	0.7	0.7	0.5	0.5
DC8AOD	256	3.2	1.2	3.8	3.3	3.7	3.7
NMR94EOD	284	17.9	8.4	8.2	9.6	10.9	10.9
Total	586	24.2	9.7	12.7	13.6	15.1	15.1
Pearson's $\chi^2$			21.602	10.403	8.3270	5.4575	5.4637
<b>O<sub>2</sub> Surface Decompression</b>							
DC8ASUR	358	10.1	14.7	7.3	7.7	11.4	11.6
DCSUREP	69	1	1.8	1.0	1.7	1.2	1.2
NMROSUR90	45	1	1.8	1.1	1.4	1.2	1.2
EDU1351SD	1035	43.3	70.2	47.3	50.5	52.0	52.5
Total	1507	55.4	88.5	56.7	61.3	65.9	66.6
Pearson's $\chi^2$			12.376	0.0293	0.5604	1.6639	1.8690
<b>Surface Decompression</b>							
DC8ASURW	46	5	3.7	1.9	2.0	2.9	3.0

DCSUREPW	69	1	0.4	0.2	0.4	0.3	0.3
EDU545SUR	197	28.2	15.1	15.8	14.4	15.4	15.5
NMRASUR90	64	0	5.0	4.9	4.2	4.2	4.2
Total	376	34.2	24.2	22.8	20.9	22.9	23.0
Pearson's $\chi^2$			4.0954	5.7615	8.4771	5.6192	5.4830
<hr/>							
<i>Submarine Escape</i>							
UPS290	299	4	29.5	11.3	65.8	38.3	41.8
Partial LL			22.014	4.7387	58.038	30.730	34.192
Total DCS		246.9	306.3	235.4	284.6	280.0	285.9
Pearson's $\chi^2$			76.890	40.204	99.209	65.523	68.595

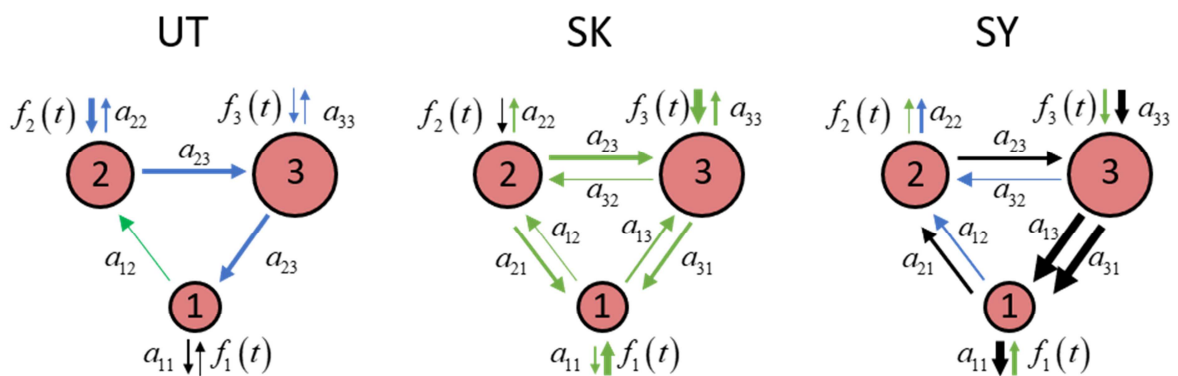
729

730 **Figure 1** Schematization of the EE1, Goldman's 3CG and GN models. Circles indicate tissue  
 731 compartments; if filled they directly contribute to risk, otherwise they are associated with no risk.  
 732 For Goldman's 3CG model and our GN model, we have only sketched the external connection  
 733 from and to the circulatory system, not to make the figure unnecessarily cumbersome; also  
 734 Goldman's models have fixed thresholds, as opposed to all the other models, for which  
 735 thresholds are optimized.



736  
 737  
 738  
 739  
 740

741 **Figure 2** Schematization of the three-interconnected-compartment Upper Triangular (UT)  
 742 model. Tissues are depicted with circles: the smaller the radius, the smaller the corresponding  
 743 eigenvalue. Radii of the tissue times are not in scale. Black lines are of the order of unity, green  
 744 lines are of the order of a tenth, blue lines are of the order of a hundredth. Tissues are ordered  
 745 from most negative to least negative eigenvalues. For example, for the UT model, slower tissues  
 746 are not directly affected by faster tissues: in fact, the slowest tissue (3) influences the tissue  
 747 tension of the other tissues (1 and 2). Arrows directions are dictated by the sign of the term; so  
 748 that  $a_{ij} < 0$  implies an arrow going from tissue  $i$  to tissue  $j$  (since  $a_{ij}$  is relative to the influence  
 749 of tissue  $j$  on  $i$  and the arrow shows that pressure of  $j$  diminishes for a positive increase on the  
 750 pressure in tissue  $i$ ).



751