

# Review article

## Perspective on ultrasound bioeffects and possible implications for continuous post-dive monitoring safety

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### Abstract

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Ultrasound monitoring, both in the form of Doppler and 2D echocardiography, has been used post-dive to detect decompression bubbles circulating in the bloodstream. With large variability in both bubble time course and loads, it has been hypothesised that shorter periods between imaging, or even continuous imaging, could provide more accurate post-dive assessments. However, while considering applications of ultrasound imaging post-decompression, it may also be prudent to consider the possibility of ultrasound-induced bioeffects. Clinical ultrasound studies using microbubble contrast agents have shown bioeffect generation with acoustic powers much lower than those used in post-dive monitoring. However, to date no studies have specifically investigated potential bioeffect generation from continuous post-dive echocardiography. This review discusses what can be drawn from the current ultrasound and diving literature on the safety of bubble sonication and highlights areas where more studies are needed. An overview of the ultrasound-bubble mechanisms that lead to bioeffects and analyses of ultrasound contrast agent studies on bioeffect generation in the pulmonary and cardiovascular systems are provided to illustrate how bubbles under ultrasound can cause damage within the body. Along with clinical ultrasound studies, studies investigating the effects of decompression bubbles under ultrasound are analysed and open questions regarding continuous post-dive monitoring safety are discussed.

### Introduction

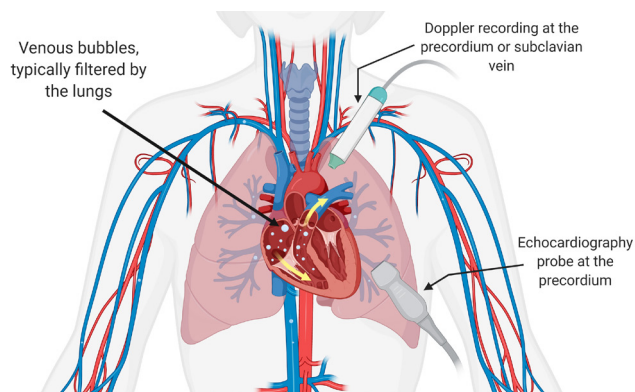
Decompression sickness (DCS) is a condition caused by the formation and growth of bubbles from dissolved inert gases in the tissues when the body experiences decompression. The effects of DCS vary from symptoms such as skin itching, joint pain, numbness, and dizziness,<sup>1,2</sup> to rare but severe outcomes, such as coma or even death.<sup>3</sup> In the case of scuba diving, divers breathe gas at ambient pressure throughout the dive. As pressure increases with depth, so do the partial pressures of the inert gases breathed. This results in a pressure gradient from the inspired gas in the lungs to the rest of the body's tissues, which are saturated at sea level. During ascent, the pressure gradient reverses, and supersaturation can drive gas out of solution, resulting in bubbles in the tissues and bloodstream during and after decompression. Bubbles continue to appear in the venous blood for two to

three hours post-dive and may cause problems by blocking blood vessels, mechanically distorting tissues, and inducing inflammatory cascades.<sup>1</sup>

Ultrasound monitoring, both in the form of Doppler and 2D echocardiography, has been used post-dive to detect decompression bubbles in the bloodstream, termed 'venous gas emboli' or VGE. Doppler ultrasound was first used in 1968 to detect intravascular decompression bubbles and became the predominant method for detecting VGE in divers.<sup>4</sup> In this case, VGE are detected aurally by employing continuous-wave Doppler detection with a single-element transducer with a separate transducer used as a receiver. This high-frequency sound is reflected by moving intravascular decompression bubbles and results in received chirp-like signals in the auditory range, which can be detected by a trained listener and used to provide a bubble grade.<sup>5</sup>

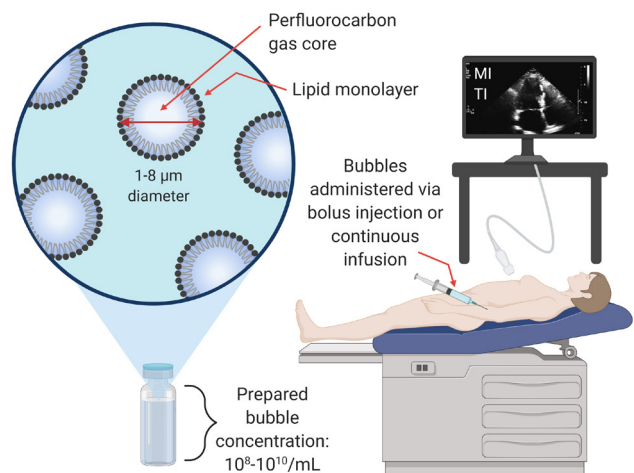
**Figure 1**

Venous gas emboli circulating post-dive can be detected using ultrasound via Doppler precordial or subclavian recording (audio) and precordial apical 4-chamber view echocardiography (video). Note the differing probe placement for the two detection methods



**Figure 2**

Clinical use and properties of ultrasound microbubble contrast agents



More recently, 2D echocardiography using a transducer array has been employed to visualise VGE in the heart. As with Doppler, the evaluation of these cardiac images allows raters to score circulating VGE and provide either a bubble grade or, more recently, employ frame-based bubble counting to evaluate VGE load.<sup>6</sup> Although data acquisition is more difficult, training for 2D echocardiography image evaluation is relatively quick,<sup>6,7</sup> unlike training for Doppler VGE detection, and this ease of training has shown 2D echocardiography to be a more economical form of evaluation compared to Doppler.<sup>7</sup> As a result, 2D echocardiography has quickly grown in popularity for post-dive decompression bubble analysis. These two methods of VGE detection are illustrated in Figure 1.

Post-dive VGE analysis is used as a tool for evaluating a diver’s likelihood for developing decompression sickness. While VGE analysis cannot be used on its own to determine whether a diver will develop DCS, a lack of VGE is a good indication a diver will not develop DCS.<sup>8</sup> Also, despite the low specificity of VGE analysis, there is a definite positive association between VGE load and DCS incidence, with higher VGE grades corresponding to an increase in DCS risk.<sup>9</sup> Thus, ultrasound imaging provides a method for screening divers for DCS risk and can be used both for diving physiology research and in the development of decompression schedules for specific diving profiles. From the early use of Doppler in the 1970s to more recent echocardiography studies, it is well-established that there exists large variability in VGE loads not only for different dive profiles but also between subjects and for the same subject undergoing the same controlled dive profile.<sup>10-12</sup> Additionally, the time course of VGE varies significantly post-dive, so that regular monitoring intervals are paramount for correct quantification.<sup>12-14</sup> As such, continuous ultrasound monitoring could provide a more accurate post-dive assessment. The development of smaller, more portable echocardiography devices has increased the feasibility of this

continuous monitoring. Continuous in-suit Doppler has been employed by NASA for bubble detection,<sup>15</sup> but this method has not yet been used for 2D echocardiography.

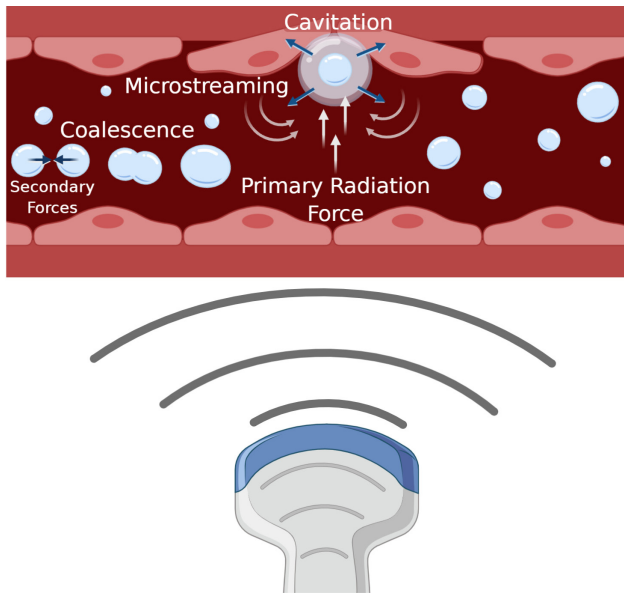
The increasing popularity of 2D echocardiography for post-dive monitoring and a push towards shorter intervals between image acquisitions or even continuous monitoring demands an evaluation of the safety of these methods. Ultrasound is considered the safest imaging modality to date; however, precautions still need to be taken when seeking to increase sonication time under abnormal imaging conditions, such as in the presence of bubbles in the tissues and bloodstream. In the realm of clinical ultrasound, established guidelines have resulted in the thermal index (TI) to avoid tissue heating and the mechanical index (MI) to avoid mechanical effects of ultrasonic waves on tissues. An MI safety limit of 1.9 is imposed during normal ultrasonic conditions, but more recent studies have shown that, in the presence of microbubble ultrasound vascular contrast agents, bioeffects, such as microvascular leakage, petechiae, cardiomyocyte death, and premature ventricular contraction, occur at much lower MIs.<sup>16</sup>

Microbubble ultrasound vascular contrast agents are small bubbles with an outer lipid shell and an inner gas core. Clinically, they are injected intravenously and most often used as an echogenic source to provide high contrast ultrasonic images of organ structure or blood volume and perfusion to an organ of interest. Studies have also investigated their use for gas transport, such as oxygen delivery to tissues,<sup>17-19</sup> and gas scavenging.<sup>20</sup> Free, unencapsulated bubbles have also been used clinically as contrast agents. For example, agitated saline is used in echocardiography to detect patent foramen ovale (PFO).<sup>21</sup> The properties and clinical use of encapsulated microbubbles can be seen in Figure 2.

Contrast agent manufacturer guidelines recommend setting the default MI to below 0.4 (Sonovue™)<sup>22</sup> or below 0.8

**Figure 3**

Microbubble behavior in a blood vessel under ultrasound sonication. Four mechanical effects of microbubbles are illustrated: microbubbles experience a push in the direction of ultrasound propagation (primary radiation force); can undergo cavitation depending on the sonication parameters (frequency match to their resonance diameter, transmit amplitude) which shrinks and expands the bubble; this oscillation creates local flow disturbances (microstreaming); and bubbles can coalesce (secondary radiation force)

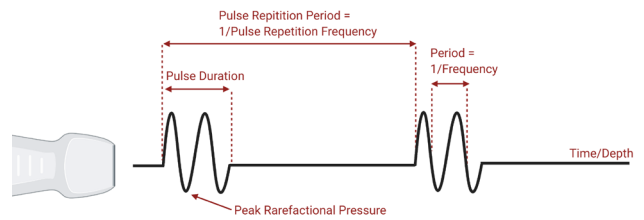


(Definity™, Optison™)<sup>23,24</sup> for the safe use of microbubble contrast agents. Nevertheless, physicians still occasionally utilise a short sonication pulse at a higher MI (> 1.0) to momentarily break microbubbles in the field of view, before returning to low MI imaging (destruction-reperfusion technique for perfusion quantification).<sup>25</sup> To date, significant bioeffects from contrast imaging in humans have not been observed; however, due to bioeffects observed in some preclinical studies, the World Federation for Ultrasound in Medicine and Biology (WFUMB) has proposed that contrast imaging should be performed at an MI of less than 0.4 when possible to reduce the likelihood of bioeffects.<sup>26</sup>

2D echocardiography post-dive typically uses continuous imaging at > 1.2 MI to achieve higher quality images, which is significantly higher than the proposed 0.4 MI suggestion for imaging ultrasound contrast microbubbles. The properties of decompression bubbles, such as bloodstream concentrations and diameter distributions, are largely unknown and still debated, making direct comparisons between contrast agent microbubbles and VGE difficult; however, previous research showing the activation of gas bodies with ultrasound provides a reason to approach the sonication of gas-containing tissues with caution.<sup>27</sup> No studies to date have investigated potential mechanically induced bioeffects at the MIs used for post-dive evaluation.

**Figure 4**

Properties of an ultrasonic wave. Note that horizontal distance represents time. Peak rarefactional pressure, pulse duration, pulse repetition period, and period are illustrated



This review aims to discuss what can be drawn from the current ultrasound and diving literature on this topic, and identify areas where more studies are needed. First, we provide an overview of ultrasound safety, introduce microbubble vascular contrast agents and summarise their dynamics under ultrasound that can lead to bioeffects. Next, we review the ultrasound bioeffects literature, focusing on the pulmonary and cardiovascular systems of special interest to diving physiology. Finally, we consider previous studies combining diving and low-frequency ultrasound and discuss open questions regarding the safety of post-dive echocardiography.

## Ultrasound bioeffect mechanisms

### HOW BUBBLES BEHAVE UNDER ULTRASOUND

Bubbles under ultrasound experience different mechanical effects depending on the surrounding environment and the ultrasound parameters used. The main mechanical effects of bubbles under ultrasound are described below, along with the type of bioeffects each may generate. These are also graphically depicted in an idealised blood vessel schematic in Figure 3.

#### 1. Cavitation

Ultrasound imaging employs sound, in the form of pressure waves, to produce images. Pressure waves emitted from a transducer propagate and, when reflected off interfaces with different acoustic impedance, are received by the same transducer to form an image. The body is composed of tissues and water, which are incompressible. Gas, however, is compressible, and bubbles excited with a pressure wave will shrink during periods of increased pressure and expand during periods of rarefaction. Since VGE are bubbles in blood, surrounded by incompressible liquid, small VGE can expand and shrink under ultrasound. The properties of sound waves including the definition of various acoustic parameters can be seen in Figure 4.

Acoustic cavitation is the expansion and contraction of a gas bubble within a sound field. When a bubble in liquid is exposed to an acoustic field, that bubble will oscillate

around an equilibrium radius. Two types of oscillation can occur depending on the acoustic field insonifying the bubble: stable (non-inertial) cavitation and inertial cavitation. Under stable cavitation, a bubble undergoes repetitive oscillation over multiple acoustic cycles. When the acoustic amplitude is increased, oscillating bubbles reach a point where there is greater bubble expansion than there is contraction. This leads to the rapid growth and then violent collapse of the bubble (with the bubble fragmenting and gas dissolving into the surrounding fluid) in a process known as inertial cavitation. Both forms of cavitation can result in bioeffects; in some cases these effects can have harmful unintended consequences, but they may also be purposefully elicited in therapeutic settings. During stable cavitation, oscillating bubbles produce heat and cause localised shear stress or microstreaming of fluid near the bubble.<sup>28</sup> While sometimes undesirable, the physical effects from stable cavitation are utilised in therapeutic settings to produce pores in membranes for transporting of genetic material in a process called sonoporation<sup>29</sup> or to lyse blood clots.<sup>30</sup> Sustained stable cavitation, in the absence of unstable cavitation, is also used to temporarily open the blood-brain barrier<sup>31</sup> and the amount of stable cavitation has also been shown to correlate with the concentration of therapeutic agents delivered via focused ultrasound blood-brain barrier opening.<sup>32</sup> The collapse associated with inertial cavitation produces violent effects such as localised but extreme temperature rises and high-velocity liquid jets that cause mechanical damage.<sup>33</sup> Inertial cavitation can produce harmful effects such as micro-vessel rupture<sup>34,35</sup> and blood cell rupture.<sup>36</sup> As with stable cavitation, however, the effects of inertial cavitation are used therapeutically. Inertial cavitation can be used to fractionate tissue,<sup>37,38</sup> with applications in tumor ablation, open the blood-brain barrier with some bubble diameters,<sup>39</sup> release drugs from micelles,<sup>40</sup> and can be precisely controlled for sustained sonoporation.<sup>41</sup>

Although the above studies deal with encapsulated microbubbles, it should be noted that the lipid layer of the bubble is not what enables cavitation or other bubble mechanics. While sonication of free bubbles, such as saline, does not cause bioeffects,<sup>42</sup> it is not the bubbles themselves but rather their size away from resonance and timescale that prevent bioeffect generation. Free bubbles are capable of cavitation at even lower pressures than stiff-shelled encapsulated bubbles.<sup>43</sup> When not under supersaturated conditions, however, these unencapsulated bubbles have half-lives of only a few seconds.<sup>44</sup>

## 2. Microstreaming

As bubbles rapidly expand and contract during stable cavitation, fluid flow can be generated near the bubble in a process known as microstreaming. This flow around the oscillating bubble can impose shear stress on surrounding surfaces and result in cell death.<sup>45,46</sup> The stress exerted via microstreaming can also be used therapeutically; for

example, to open membrane pores for therapeutic agent delivery via sonoporation.<sup>47</sup>

## 3. Radiation forces and coalescence

As ultrasound waves propagate through a medium, they have an associated momentum that can be imparted onto objects in their path. If an object in the beam's path is free to move, the imparted momentum will result in the translation of the object in the direction of the beam.<sup>48</sup> This imparted force is known as the primary radiation force. Bubbles pushed hard enough with this force may attain high speeds, and collisions with these high-speed bubbles have been proposed to be the cause of cell lysis<sup>49</sup> and clot lysis.<sup>30</sup> The pushing of microbubbles can also be used to localise and concentrate contrast agents near vessel walls to assist in the delivery of targeted agents.<sup>50</sup>

As microbubbles oscillate, they act as a secondary source of sound.<sup>48</sup> This source of sound is associated with another radiation force referred to as the secondary radiation force, which can cause attraction between nearby microbubbles or even other nearby particles. When two bubbles are close enough to one another via the primary and secondary radiation forces, they may fuse together as a single bubble in a process known as coalescence. The coalescence of microbubbles occurs because of the thinning of the bubble film. As encapsulated bubbles expand under an ultrasonic field, the flow between the bubbles creates a pressure reduction, and the two bubbles will move closer towards each other.<sup>33</sup> Once the bubbles are adjacent, their expansion will cause the pressure in the film between them to increase, which results in the thinning and flattening of the bubble surfaces.<sup>51</sup> The continued bubble expansion leads to the drainage of the film until it reaches a critical thickness.<sup>51</sup> At this point, the film ruptures and the bubbles coalesce into a single bubble. Whereas free bubbles coalesce more readily during collisions without the use of ultrasound, the resulting radiation forces from ultrasound make the coalescence of encapsulated bubbles much more likely.<sup>52</sup> The use of the secondary radiation force may allow for the combination of therapeutic agents encapsulated in microbubbles or may be used to aid in concentrating agents to a targeted area.<sup>50</sup>

## RELEVANCE OF THE MECHANICAL INDEX

The mechanical index is used to infer the risk of nonthermal mechanical effects during diagnostic ultrasound. Apfel and Holland developed this metric using theoretical and experimental observations to determine the acoustic pressure amplitude required to cause an optimally sized bubble to undergo inertial cavitation.<sup>53</sup> In their work, a threshold level of 0.7 MI was reported for initiating inertial cavitation. Interestingly, because the FDA guidelines are based upon the acoustic output in use commercially prior to the 1976 FDA Medical Device Amendments (by law), although the MI computation is derived from Apfel and Holland, the

FDA MI guideline is 1.9. It is important to note that the MI calculation is based only on the threshold for generating inertial cavitation for free bubbles and not on the severity of effects resulting from inertial cavitation.<sup>54</sup>

The FDA defines the MI as the ratio of the peak rarefactional negative pressure (in MPa) adjusted for tissue attenuation (derated by  $0.3 \text{ dB.MHz-cm}^{-1}$  and the square root of the center frequency of the wave (in megahertz (MHz)), thus  $MI = \frac{Pr_{0.3}}{\sqrt{f}}$ .

From this equation, at a set peak rarefactional negative pressure, lower frequencies lead to higher MI values, indicating a higher possibility of inertial cavitation. This is because cavitation is more likely under long wavelength stimulation (low frequencies) when bubbles have more time to expand and is less likely under short wavelength stimulation (high frequencies) when sufficient time is not provided for bubble growth.<sup>33</sup>

It is important to note the conditions under which the MI was developed. First, there is an assumption of pre-existing microscopic gas nuclei in the body.<sup>53</sup> While this is an accurate assumption for gas containing bodies such as the lungs and intestine, the use of microbubble contrast agents, and potentially even the case of circulating decompression bubbles, it proves to be less applicable for tissues not known to contain gas,<sup>55</sup> such as most soft tissues including muscle, fat, and cardiac tissue. Second, the MI assumes the existence of optimally sized bubbles *in vivo*.<sup>53</sup> In some situations, this may be a reasonable assumption, such as in the case of contrast agents where the bubble size distribution is at least known initially. Most tissues, however, do not contain these pre-existing, optimally sized bubbles, meaning that the MI is not necessarily a good predictor of *in vivo* cavitation.<sup>56</sup> In the case of decompression sickness, bubbles are present, but their size is debated. VGE with diameters above 20–30  $\mu\text{m}$  have been detected using 2D echocardiography, and theoretical calculations and new imaging techniques, such as a dual-frequency system for detecting and sizing bubbles,<sup>57</sup> also predict the presence of smaller bubbles < 10  $\mu\text{m}$ .<sup>58</sup> One study, for example, detected microbubbles in the 1–10  $\mu\text{m}$  diameter range in swine following hyperbaric chamber dives.<sup>59</sup> Third, the MI was developed assuming only a single acoustic period of sonication typical of traditional imaging schemes. This is not the case for some forms of ultrasound imaging, such as Doppler and acoustic radiation force impulse imaging, that employ several hundred acoustic periods.

There is debate about the validity of using the MI as a predictor of cavitation. This metric only accounts for the onset of inertial cavitation and does not include other cavitation events such as subharmonic emissions from stable cavitation, and it is a poor predictor of ultrasound contrast agent rupture.<sup>60</sup> As a result, other cavitation

metrics have been proposed, the most notable being the cavitation index  $I_{CAV} = \frac{Pr}{f}$ .

This seeks to describe the cavitation process as a whole.<sup>60</sup> Under this metric, the likelihood of ultrasound contrast agent rupture increases for  $I_{CAV} > 0.02$ .<sup>60</sup> Aside from the issue of the MI not accounting for other cavitation events and tissues without pre-existing, optimally sized bubbles, this measurement system also only considers peak rarefactional pressure and frequency. It is important to note that other factors, such as sonication time, pulse duration, pulse repetition frequency (PRF), and even the waveform shape, also contribute to the likelihood of cavitation and the occurrence and severity of bioeffects.<sup>54</sup> Computational studies have been conducted investigating the effect of increased pulse durations on the inertial cavitation threshold. Church found that under the sonication of liquids, such as urine, water, or blood, increased pulse durations reduced the cavitation threshold as much as 6–24%, although the effect on tissue was minor.<sup>56</sup> Compared to some experimental data, inertial cavitation thresholds generated under the MI method do not always agree with the frequency response.<sup>61</sup> From this disagreement, some alternative methods have been proposed such as modifying the frequency exponent in the MI equation<sup>56</sup> or adopting a two-criterion model that considers both the inertial cavitation and also a fixed value for the maximum radius a bubble may attain during expansion.<sup>61</sup>

Despite its inaccuracies and over-simplifications, the MI remains a useful metric for evaluating the threshold for inertial cavitation and bioeffect production in certain scenarios. For example, when diagnostic B-mode imaging, which employs only a few acoustic periods, is used on gas containing bodies, the MI may provide a useful way to indicate frequency and acoustic pressure combinations that are more likely to lead to cavitation-induced bioeffects. Guidelines have been released advising caution using MIs above 0.4 for diagnostic imaging of tissues with gas-containing bodies,<sup>26</sup> which is significantly below the FDA's 1.9 MI guideline and the commonly used 1.2 MI for post-dive echocardiography. In the following discussion of experimental studies evaluating organ bioeffects under diagnostic imaging, the MI will be strongly considered, although other sonication factors that play a role in bioeffect production, such as sonication duration, will also be discussed.

### **Pulmonary and cardiovascular bioeffects**

Although the papers discussed in this section do not focus on ultrasound as it relates to scuba diving, the pulmonary and cardiovascular systems are directly sonicated during post-dive echocardiography, making it useful to understand how they may be affected by ultrasound sonication. It is important to note, however, that the pulmonary and cardiovascular systems are not the only systems that suffer

from ultrasound-induced bioeffects. Although they will not be discussed in detail here, the intestines, kidneys, bones, and even nervous system experience unique effects under ultrasound.<sup>28</sup> Since this review is focused on post-dive echocardiography, however, the discussion below will be kept to the two most relevant systems. It should be noted that while this section discusses negative effects the pulmonary and cardiovascular systems may experience under ultrasound, overall ultrasound is considered the safest imaging modality. The effects described below serve as a cautionary tale for the use of continuous ultrasound without prior safety investigations, as they demonstrate harm from unusual sonication circumstances (i.e., in the presence of gas bodies, at high pressures, etc).

### PULMONARY BIOEFFECTS

Although the lungs are not the focus of post-dive 2D echocardiography, they can receive exposure as the beam passes through the chest wall to the heart. Whereas the cardiovascular system contains circulating VGE post-dive that provide a potential source of gas cavitation under ultrasound, the lungs are comprised of pre-existing gas bodies. This makes it important to consult the literature on the potential for bioeffect generation in the lungs, especially when considering extending the duration of post-dive echocardiography.

Many murine studies have found that lung haemorrhage is possible under diagnostically relevant levels of pulsed ultrasound sonication, with typical thresholds between 0.4 and 1.4 MPa peak rarefactional pressure, frequencies from 1.1 MHz to 12.0 MHz, and an MI range of 0.37–1.0.<sup>62–66</sup> This pulmonary capillary haemorrhaging resulting from sonication has been shown to correlate with the length of comet-tail artifacts,<sup>64,65</sup> suggesting that these artifacts may be used to indicate developing damage during imaging. These results illustrate the potential for lung haemorrhage to occur in rats and mice at MIs much lower than the 1.9 MI FDA guideline. The sonication frequency, however, does not appear to be a strong factor in determining the haemorrhage threshold, making the MI a poor predictor for damage.<sup>65,67</sup> Despite haemorrhage occurring at low sonication pressures in murine models, some researchers have speculated that the mouse is a poor model for damage that could occur during human diagnostic imaging.<sup>68,69</sup> This is substantiated by cross-species studies that have found less damage occurring in larger animals, such as rabbits and pigs, compared to rats and mice at the same sonication parameters.<sup>68,70</sup> Zachary and O'Brien concluded that a species' sensitivity to ultrasound is likely determined by anatomical and physical properties such as alveolar diameter, thickness of alveolar septa, lung compliance, and pleural thickness,<sup>70</sup> which all differ significantly between humans and rodents. It is also important to note that these studies investigating lung haemorrhage thresholds focus ultrasound directly on the lungs, whereas lung ultrasound exposure

during echocardiography is more incidental (and currently of short duration).

To determine whether the results of small animal studies are applicable to humans, researchers have investigated the effects of diagnostic imaging on both human and monkey lungs. Damage has been shown to be possible with clinical diagnostic settings in monkeys, but only minimal damage was found using the maximum diagnostic ultrasound settings.<sup>71</sup> A study on 50 human subjects undergoing clinical echocardiography at 1.3 MI found no lung damage, leading the authors to conclude that human lungs are not as sensitive as those of animals.<sup>72</sup>

The mechanism by which ultrasound causes lung haemorrhage is not well understood. The interaction of ultrasound and alveolar gas is likely the primary cause of lung damage, as determined by the low sensitivity of fetal swine lungs to ultrasound compared to adult lungs since fetal lungs contain no gas.<sup>73</sup> Although the interaction with gas is the likely cause, inertial cavitation is not believed to be the mechanism by which gas causes damage. Evidence for this includes the lack of frequency dependence on the haemorrhage threshold,<sup>65</sup> the lack of difference in lung damage due to positive or negative peak pressures (the use of negative peak pressures should lead to more damage if inertial cavitation was the mechanism),<sup>74</sup> and the lack of effect of hydrostatic pressure on damage.<sup>75</sup> Although the exact form of gas body activation leading to haemorrhage remains unknown,<sup>76</sup> hypothesised mechanisms include the acoustic radiation surface pressure at the tissue-air interface.<sup>77</sup>

Although it appears that lung damage due to human echocardiography under typical clinical conditions is unlikely, the effect of increasing sonication time should be considered. Murine studies have found that increased exposure duration increases the surface area of lung lesions resulting from ultrasound.<sup>78,79</sup> Even with the same total sonication on-time, longer exposure durations can lead to greater haemorrhage and a lower sonication threshold.<sup>79</sup> The effect of exposure duration is so significant in determining the occurrence and extent of lung damage that its inclusion into the MI equation for lung sonication has been suggested.<sup>67</sup> Still, it should be noted that the previously mentioned human clinical diagnostic study performed echocardiography for as long as 50 minutes and still found no lung haemorrhage.<sup>72</sup> Overall, diagnostic echocardiography in humans seems unlikely to cause lung damage using clinical settings, but it may be wise to exercise caution when implementing long exposure durations.

### CARDIOVASCULAR BIOEFFECTS

Although there is a lack of research regarding the interaction of decompression bubbles and echocardiography, there is extensive research on an interesting parallel: the use of

microbubble contrast agents during echocardiography. In contrast echocardiography, microbubbles are introduced into the bloodstream, where they are confined to the vasculature, as an echogenic source to provide higher quality images. When sonicated, these contrast agents have the potential to cavitate and induce bioeffects through the mechanisms previously described. To better understand the effects of cavitating bubbles in the cardiovascular system, this section will provide a literature review of the bioeffects elicited under diagnostic ultrasound conditions in both the heart and bloodstream along with a discussion of the safety of contrast echocardiography.

### 1. Cardiac bioeffects

Human and animal studies have revealed the production of many cardiac bioeffects when exposing contrast agents to diagnostic imaging conditions. Examples of generated bioeffects include capillary rupture,<sup>35,80,81</sup> premature ventricular contraction,<sup>80,82–85</sup> ventricular damage,<sup>34</sup> cardiac bio-marker release,<sup>86,87</sup> and mortality.<sup>84</sup> There is great variation in the settings that elicit these bioeffects, however. Mortality, for example, occurred only in extreme conditions far removed from traditional echocardiography: continuous ultrasound focused on the heart at a low frequency, maximum MI, a continuous bolus injection of contrast agents, and a sonication duration of over 9 minutes.<sup>84</sup> Unlike the production of pulmonary capillary haemorrhage, many cardiac studies have found a strong MI dependence on cardiac bioeffect production. One study, for example, found a strong damage dependence on the MI in rats where damage occurred slightly below 0.4 MI and increased with increasing MI.<sup>35</sup> Similar low MI thresholds have been found in contrast echocardiography rat studies: microvascular leakage occurred with exposure above 0.3 MI,<sup>80</sup> higher rates of mortality occurred with pressures above 0.6 MPa at 1.3 MHz (above 0.53 MI),<sup>84</sup> and premature ventricular contraction occurred with thresholds between 0.3 and 0.77 MI.<sup>80,83,84</sup> Larger animal and human studies, however, have found higher thresholds required for generating bioeffects. In an open-heart canine model, capillary rupture occurred with both 1.0 and 1.8 MI, although significantly more damage was produced with 1.8 MI.<sup>81</sup> *Ex vivo* rabbit heart sonication with microbubbles showed damage occurring with an MI greater than 0.8 and more damage occurred when using a lower frequency,<sup>34</sup> an outcome the MI model predicts. Human models show even greater thresholds. In one human clinical contrast echocardiography study, an MI of 1.5 elicited premature ventricular contraction whereas a 1.1 MI did not.<sup>85</sup> Another study found increased release of the cardiac bio-markers troponin I, creatine kinase myocardial band (CK-MB), and myoglobin in the coronary sinus, suggesting microscale damage to cardiomyocytes, when imaging at 1.5 MI in triggered second harmonic mode but not with a mode that implemented an alternating low-high combination where 0.2 MI was interrupted with 10 images at 1.7 MI every minute.<sup>87</sup>

The MI is not the only relevant setting in relation to damage, however. Some studies have shown that sonication time impacts the amount of damage produced. At high pressures, mortality has been shown to gradually increase as the sonication time increases from nine to 30 minutes<sup>84</sup> and bio-marker release increases with time up to 15 minutes.<sup>87</sup> Bioeffect generation during contrast echocardiography also depends on the concentration and infusion rate of microbubbles. Increased infusion rates are associated with greater premature ventricular contraction<sup>82,85</sup> and greater microbubble dosages are known to produce more capillary leakage.<sup>80</sup>

Despite the above studies that found bioeffect production with contrast echocardiography, human<sup>88</sup> and animal<sup>86</sup> studies have found no negative impacts from intermittent ECG-triggered contrast echocardiography at MIs around 1.0, and multiple reviews have concluded that contrast echocardiography has been shown to be safe in regards to the fairly insignificant findings of many studies.<sup>25,89</sup> Several major retrospective studies have found no increased risk of negative effects from the use of contrast agents during echocardiography.<sup>90–93</sup> The above studies also have several limitations that hinder their applicability to clinical settings. First, several of the studies employ contrast dosages much higher than those used clinically.<sup>35,80,84,86</sup> Many studies are also conducted on small animals or *ex vivo* organs,<sup>34,35,80,81,86</sup> meaning the studied hearts likely received greater ultrasound organ coverage or less tissue attenuation than would be present in clinical human use. Lastly, the studies on human subjects concede that the study population is more likely to experience arrhythmias than healthy individuals,<sup>82</sup> potentially skewing results. Even so, contrast agent product inserts warn of potential arrhythmia generation with MIs above 0.8<sup>22–24</sup> and caution has been recommended when using moderate and high MIs in contrast echocardiography.<sup>25</sup>

### 2. Vascular bioeffects

The use of contrast agents in vasculature provides an interesting parallel to sonication of circulating decompression bubbles. Since microbubble contrast agents travel through the bloodstream after injection, it is important to consider the potential interaction of these bubbles with blood cells under ultrasound sonication. Haemolysis, the destruction of blood cells, has been found with the sonication of microbubble-containing vasculature. Animal studies with contrast agents have found inertial cavitation to be the primary mechanism for haemolysis, as indicated by the strong correlation between the amount of haemolysis and the amount of inertial cavitation recorded using a cavitation detection system.<sup>36,94,95</sup> Increasing the dissolved oxygen (in normobaric conditions) in the blood, introducing more cavitation nuclei, also leads to greater inertial cavitation and greater haemolysis,<sup>96</sup> supporting inertial cavitation as the mechanism causing haemolysis. The amount of haemolysis occurring also shows a strong frequency effect where lower frequencies produce

greater haemolysis, and the amount of haemolysis increases with increasing MI.<sup>95,97</sup> Despite this, even when sonicating *in vitro* blood at MIs > 1.9, much greater than what would be used clinically, the levels of haemolysis produced are less than 5%<sup>97,98</sup> or almost indistinguishable from sham treatments.<sup>36</sup> Other studies have simply found no evidence of haemolysis even at maximum diagnostic settings.<sup>99</sup> The high thresholds necessary to invoke even minimal red blood cell destruction with contrast agents suggests that harmful levels of haemolysis are unlikely during diagnostic conditions.<sup>28,36,76,97,98</sup>

## Relevance to diving

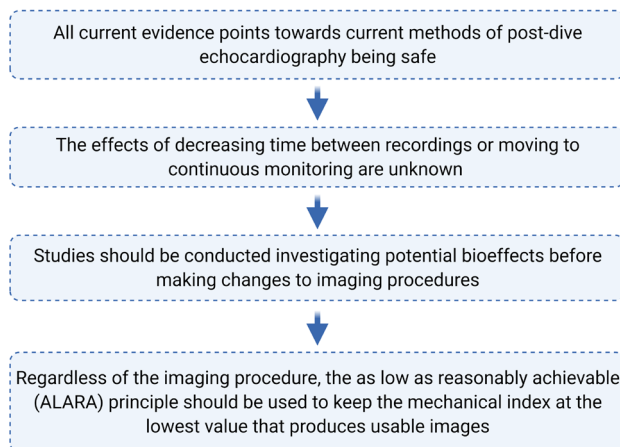
### PREVIOUS STUDIES

Sonar and diagnostic ultrasound use vastly different parameters and are not comparable exposures (but we include this section for completeness). Of particular note, sonar typically uses frequencies in the kilohertz (kHz) range, which is much lower than the MHz frequencies used in diagnostic ultrasound. Sonar often transmits long or continuous signals, whereas diagnostic ultrasound most often uses pulsed sequences. The exposure in the studies in this section also occur during the dive bottom time, instead of post-dive. Despite the differences from post-dive echocardiography, the discussion of sonar exposure to divers still offers interesting insights into the potential interactions of decompression bubbles and ultrasonic waves. Several experimental studies have investigated the potential for decompression bubbles to grow under sonar. A computational study investigated the potential for bubbles of 1–10 µm initial radius in dissolved gas concentrations of 100–223% to grow under low-frequency ultrasound.<sup>100</sup> They found that under these conditions, sound pressures greater than 210 dB re 1 µPa (31.6 kPa) resulted in rapid bubble growth to sizes large enough to block capillaries and other small blood vessels, but that pressures below 190 dB re 1 µPa (3.16 kPa) were unlikely to result in bubble growth.<sup>100</sup> Supporting the conclusions of this study, several animal or *ex vivo* tissue studies under simulated dives found the potential for bubble growth under sufficiently high sound pressures. Prawns in 203 kPa hyperbaric conditions exposed to sound at 37 kHz and 1.4–2.8 MPa during a 10-minute bottom time presented bubbles for a longer period of time and with higher mean volumes than those not exposed to sound.<sup>101</sup> Bubble growth was also found in supersaturated *ex-vivo* blood and tissues when exposed to 37 kHz sound at pressures above 50 kPa.<sup>102</sup> Even sound pressures below 3.16 kPa have been found to elicit bubble growth in supersaturated conditions. Rats experiencing a simulated diving profile in a hyperbaric chamber that were exposed to 1.7 kPa sound at 37 kHz for the 60-minute bottom time produced larger bubbles and higher bubble densities than those with no sound exposure.<sup>103</sup>

Not only has sonar been shown to increase the amount or size of decompression bubbles, it has also led to increased

**Figure 5**

Current conclusions regarding post-dive monitoring safety



damage or mortality in some studies. Immersed explanted pig lungs exposed to 22 and 36 kHz at 1 kPa and 0.8 kPa, respectively, incurred pulmonary microhaemorrhages.<sup>104</sup> A recent study found that rats exposed to diving profiles and 8 kHz sound experienced 20% mortality (vs. no deaths in the diving control group) and rats exposed to 8 kHz and to 15 kHz sound experienced higher rates of neurological decompression sickness.<sup>105</sup>

Few human studies exist to compare to the findings of animal and *ex vivo* tissue studies. Two case studies of divers exposed to continuous underwater sound reveal potentially recurrent harmful non-auditory effects such as lightheadedness, agitation, and the inability to concentrate, but these effects are difficult to validate.<sup>106</sup> Other human studies show that harmful effects from sonar exposure during dives are unlikely, but also conclude that further studies should be conducted for adequate conclusions.<sup>107,108</sup>

### OPEN QUESTIONS

Although comparisons can be made between post-dive echocardiography and the use of both clinical diagnostic imaging with contrast agents and with sonar exposure during dives, there are too many parameter differences for either to provide a true parallel. Current post-dive protocols stipulate that measurements should be conducted for 120 minutes from completion of the decompression period, that an initial measurement should be made within 15 minutes following decompression followed by measurement intervals of no more than 20 minutes, and that sonication intensities and scan durations kept as low as reasonably achievable.<sup>14</sup> It should be noted that protocols such as these have been used for decades and adverse reactions in divers have not been reported. These conclusions are outlined in Figure 5.

The above studies on pulmonary exposure during diagnostic echocardiography on humans or large mammals appear

to indicate that damage is unlikely during typical clinical conditions,<sup>68,70,72</sup> even potentially during extended imaging durations. Post-dive, however, the pulmonary system plays an important role in filtering out circulating VGE. The lungs post-dive could be more sensitive to the effects of ultrasound due to the presence of VGE, which could in turn hinder this filtering capacity.

The results from clinical contrast echocardiography studies are difficult to interpret. Many studies indicate cardiovascular damage is possible at clinical settings with the introduction of microbubble contrast agents,<sup>80–83,85,87</sup> but most of these studies were conducted on small animals with contrast agent concentrations larger than typically used in therapeutic procedures.<sup>80,81,83,86</sup> Even with high VGE concentrations, individual bubbles can normally be detected on echocardiograms, indicating potential VGE concentrations much lower than the bubble concentrations used in ultrasound contrast agent procedures; however, there are varying radii of VGE and small circulating bubbles, or stationary tissue bubbles if present, that may not be picked up by echocardiography, making concentrations unknowable. Additionally, dissolved gas in the plasma from tissue supersaturation is not detectable with echocardiography, further complicating the question of gas concentration within the bloodstream. Ultrasound contrast agents are also confined to the vasculature, whereas VGE probably arise in the microcirculation of supersaturated tissues where extravascular bubble formation is also likely to be occurring. Most studies indicate that higher pressures and lower frequencies (higher MIs) result in more damage,<sup>34,35,81,84,85</sup> which does lead to the question of whether the typical 1–2 MHz and 1.2 MI post-dive echocardiography could result in damage from cavitating decompression bubbles. Human studies resulting in cardiovascular damage or premature ventricular contraction from contrast echocardiography were also conducted on populations more likely to experience cardiovascular difficulties.<sup>82</sup> Finally, contrast echocardiography studies have indicated higher occurrences and greater damage with extended sonication times,<sup>78,79,84,87</sup> indicating that extended post-dive sonication times could potentially result in a greater risk of bioeffects.

Studies on diving humans and animals exposed to sonar may leave the most unanswered questions, although this sonar sonication is very different to diagnostic ultrasound. These studies indicate that decompression bubbles in supersaturated conditions can grow when exposed to ultrasound<sup>100–103</sup> and potentially result in more severe decompression sickness.<sup>104,105</sup> These studies, however, use a much lower sonication frequency, and therefore higher MI, than that used in diagnostic imaging. Whereas the sonar studies focus on ultrasound in the low kHz range, echocardiography uses frequencies on the order of 1 MHz. Although it was previously thought that bubbles would most strongly oscillate when exposed to their resonant frequency, meaning that bubbles with low  $\mu\text{m}$  diameters would respond most strongly to MHz ultrasound, new

studies have shown that lower frequency ultrasound, such as 250 kHz, causes bubbles to expand to more than 30 times their equilibrium size.<sup>109</sup> This raises the question as to whether sonar might cause bubbles to oscillate more strongly than diagnostic frequencies, meaning that the expansion seen in sonar conditions could potentially be less likely for diagnostic conditions. There are also questions as to whether supersaturated tissues exposed to ultrasound during dive bottom times would be more likely to grow or produce more bubbles than tissues that have decompressed post-dive, or whether the presence of circulating bubbles that result from the decompression could lead to stronger effects from ultrasound. The location of the sonication probe also differs from sonar studies and diagnostic studies; in diagnostic imaging, the probe is placed directly on the skin of the patient, giving them direct ultrasound exposure, whereas when humans and animals are exposed to sonar, the transducer is typically much further away. Lastly, the pulse repetition frequencies differ greatly between sonar exposure and diagnostic imaging. Sonar uses much lower pulse repetition frequencies than diagnostic imaging, meaning that patients under diagnostic imaging are subject to more frequent ultrasound exposure. These numerous considerations make it difficult to assess the potential hazards of continuous post-dive echocardiography.

## Conclusion

Ultrasound has the potential to generate bioeffects in divers through sonar and in the pulmonary and cardiovascular systems through diagnostic ultrasound imaging, especially under conditions of high acoustic pressure, low frequency, and long duration sonication. Despite this, no research has been conducted on the safety of echocardiography for the evaluation of VGE load post-dive. Although the above research offers interesting insights into the role of ultrasound in bioeffect production and areas of possible concern, no conclusive statements can be made regarding the safety of continuous post-dive echocardiography. Since little information is known, sonication pressures should ideally be kept as low as reasonably achievable (the ALARA principle) to avoid any potential bioeffects. To avoid cavitation-related effects, sonication frequency should also be kept as high as possible. Further studies should also be conducted investigating the potential for post-dive echocardiography to produce bioeffects in divers.

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